



# Rebuild Florida Critical Facility Hardening Program

## **CRITICAL      FACILITY      HARDENING GUIDELINES**

This document provides the guidelines for implementation of the Rebuild Florida Critical Facility Hardening Program (CFHP) administered by the Florida Department of Economic Opportunity (DEO). This program is funded by the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant - Mitigation (CDBG-MIT) allocation as described in Public Law 115-123.

**VERSION 1.2**

9/16/2021

## VERSION HISTORY

Version Number	Change Date	Summary of Changes
1.0		Original Document
1.1	8/3/2021	Updated Anti-Fraud, Waste, and Abuse section Corrected minor typographical errors in the document
1.2	9/16/2021	Reformatted document for consistency with other program guidelines

\*The Office of Long-Term Resiliency established a new Version Policy in August 2021. Gaps in version history prior to this change may be present.

## VERSION POLICY

Version history is tracked in the Version History Table (page i), with notes regarding version changes. Dates of each publication are also tracked in this table.

Substantive changes in this document that reflect a policy change will result in the issuance of a new version of the document. For example, a substantive policy change after the issuance of Version 1.0 would result in the issuance of Version 2.0, an increase in the primary version number. Non-substantive changes such as minor wording and editing or clarification of existing policy that do not affect interpretation or applicability of the policy will be included in minor version updates denoted by a sequential number increase behind the primary version number (i.e., Version 2.1, Version 2.2, etc.).

## POLICY CHANGE CONTROL

Policy review and changes for the State of Florida Office of Long-Term Resiliency are considered through a change-control process. Policy clarifications, additions, or deletions are needed during the course of the program to more precisely define the rules by which the Program will operate. Program staff will document policy-change requests that will be tracked in the program files. Requests are compiled and brought before supervisory staff in a policy meeting. Subject matter experts working in a particular policy area or task area that will be affected by the policy decision may be invited to assist in policy evaluation, if necessary. Policy meetings will be held as frequently as is necessary to consider policy decisions critical to moving the Program forward in a timely manner. Policy decisions will be documented and will result in the revision of the document in question.

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## Part 1: Definitions and Acronyms

**Action Plan:** The State of Florida Mitigation Action Plan provides the high-level strategy to carry out strategic and high-impact activities to minimize or eliminate risks and reduce losses from future disasters. The Plan also describes the opportunity to improve state and local planning protocols and procedures. The Plan was submitted to HUD on February 3, 2020.

**Applicant:** Any entity that submits a response to the request for applications (RFA) for potential funding through the CDBG-MIT program.

**AMI – Area Median Income:** The median (middle point) household income for an area adjusted for household size as published and annually updated by the United States Department of Housing and Urban Development (HUD). Once household income is determined, it is compared to HUD's income limit for that household size.

**CDBG:** Community Development Block Grant.

**CDBG-MIT:** Community Development Block Grant for mitigation projects.

**CDBG-DR:** Community Development Block Grant for disaster recovery projects. CFHP – Critical Facility Hardening Program.

**Copeland Act:** Anti-Kickback Act

**CWHSSA:** Contract Work Hours and Safety Standards Act

**DBA:** Davis-Bacon Act (DBA)

**DEO – Department of Economic Opportunity:** Administrator of the CDBG-MIT program funded by HUD under Public Law 115-123. DEO is the governor-designated state authority responsible for administering all long-term hazard mitigation and disaster recovery funds awarded to the state from HUD.

**DEM:** (Florida) Division of Emergency Management.

**DOB – Duplication of Benefits:** A duplication of benefits occurs if DEO provides assistance to a participant for the same purpose as any previous financial or in-kind assistance provided to that participant for the same purpose. The DEO CDBG-MIT program is prohibited from creating a DOB. This prohibition comes from the Robert T. Stafford Disaster Assistance and Emergency Relief Act (Stafford Act) and therefore, these other sources of funds must be deducted from any potential award or expenditures for individual participants.

**DOH:** (Florida) Department of Health

**ESHMP:** Enhanced State Hazard Mitigation Plan. FEMA – Federal Emergency Management Agency.

**FR – Federal Register:** The official journal of the Federal government of the United States that contains government agency rules, proposed rules, and public notices. A Federal Register Notice (FRN) is issued for each CDBG-DR funded disaster. The FRN outlines the rules that apply to each allocation of disaster funding.

**HMGP:** Hazard Mitigation Grant Program.

**HUD:** U.S.– Department of Housing and Urban Development.

**LMH:** Low to Moderate Income Household: A household having an income equal to or less than the Section 8 low income limit established by HUD.

**LMI:** Low to Moderate Income National Objective: Activities that benefit households whose total annual gross income does not exceed 80% of AMI, adjusted for family size.

- **Extremely low:** Household's annual income is up to 30% of the area median family income, as determined by HUD, adjusted for family size.

- **Very Low:** Household's annual income is between 31% and 50% of the area median family income, as determined by HUD, adjusted for family size.
- **Low:** Household's annual income is between 51% and 80% of the area median family income, as determined by HUD, adjusted for family size.

**MIDs – Most Impacted and Distressed Areas:** Areas of most impact as determined by HUD or the state using the best available data sources to calculate the amount of disaster damage. The HUD-designated MID areas include Brevard, Broward, Clay, Collier, Duval, Hillsborough, Lee, Miami-Dade, Monroe, Orange, Osceola, Palm Beach, Polk, St. Lucie and Volusia counties; and zip codes 32084, 32091, 32136, 32145, 32771, 33440, 33523, 33825, 33870, 33935, and 34266. The state-identified MID areas also include those counties that received both Individual Assistance (IA) and Public Assistance (PA) through the Federal Emergency Management Agency (FEMA).

**RFAs – Request for Applications:** The DEO notice requesting applications for funding as a subrecipient for the CDGB-MIT program.

**Response:** Any application received for CDBG-MIT funding.

**Subrecipient:** Any entity that has been awarded funding by DEO to implement a CDBG- MIT project and that has executed a subrecipient agreement.

**Subrecipient agreement:** An agreement between DEO and a subrecipient that has been awarded funding to implement a CDBG-MIT project. The agreement details the conditions under which funds are provided and the contractual obligations to which the subrecipient must adhere.

**Subrogation:** Subrogation is a legal doctrine that allows one entity to take on the rights of another. In the context of mitigation grants, a subrecipient must enter into a subrogation agreement in which the funding agency (DEO) obtains the right to collect any additional mitigation payments the entity obtains for the same purpose after the entity has received CFHP benefits.

**UGLG:** Units of general local government

**Urgent Need:** A recent need that poses a serious and immediate threat to the health or welfare of the community.

## Part 2: Program Overview

### 2.1 Program Purpose

In April 2018, the U.S. Department of Housing and Urban Development (HUD) announced that the state of Florida would receive \$633,485,000 in funding to support long-term mitigation efforts (following Hurricanes Hermine, Matthew and Irma) through HUD's newly created Community Development Block Grant – Mitigation (CDBG-MIT) program. The Federal Register Vol. 84, No. 169, which delineates all program requirements, was released on August 30, 2019.

This funding is designed to address mitigation needs to ensure that the state of Florida is more resilient to future natural disasters. The Florida Department of Economic Opportunity (DEO) is the lead agency and responsible entity for administering the CDBG- MIT funds allocated to the state. The state of Florida's Action Plan, which was submitted to HUD on February 3, 2020, details how this funding, along with subsequent allocations, will be apportioned to address unmet mitigation needs in Florida that represent targeted strategic investments for grantees based on current or foreseeable risks.

These mitigation funds represent a unique and significant opportunity for the state, in the areas most impacted by recent disasters, to carry out strategic and high-impact activities to minimize or eliminate risks and reduce losses from future disasters. In addition to mitigating disaster risks, the funds provide an opportunity to improve state and local planning protocols and procedures.

Florida's focus is to support data-informed investments through high-impact projects that will reduce risks attributable to natural disasters, with particular attention to repetitive losses of property and critical infrastructure. DEO's strategy is built on a comprehensive Risk Based Mitigation Needs Assessment, presented in its Action Plan, that identified flooding, severe storms, tropical cyclones, coastal erosion and wildfires as the most significant risks to Floridians.

The state supports the adoption of policies that reflect local and regional priorities that will have long-lasting effects on community risk reduction, to include the reduction of risk to community lifelines. Community lifelines enable the continuous operation of government functions and critical businesses that are essential to human health and safety or economic security.

The goal is to help protect critical community lifelines which are illustrated in **Figure 1:**



*Figure 1: Community Lifelines*

**Table 1** Illustrates Florida's plan for allocation of CDBG-MIT funds.

**Table 1: Allocation of CDBG-MIT Funds**

Allocation of CDBG-MIT Funds		
Program	Allocation	Percent of Funding
Infrastructure	\$550,000,000	87%

Rebuild Florida General Infrastructure Program	\$475,000,000	75%
Rebuild Florida Critical Facility Hardening Program	\$75,000,000	12%
Planning and Administrative Costs	\$83,485,000	13%
Rebuild Florida General Planning Support Program	\$20,000,000	3%
DEO Administration	\$31,674,250	5%
DEO Planning	\$31,810,750	5%
Total Allocation	\$633,485,000	100%

## 2.2 Program Process

CDBG-MIT programs will be delivered in a multi-step process to comply with all applicable regulations and requirements. The preliminary process includes a Request for Applications (RFA) and submission of applications by eligible entities, followed by application evaluation and a scoring process that may result in an award of funding and the execution of a subrecipient agreement. The selected subrecipients will be responsible for delivery of services.

During the implementation process, program funding will be provided to subrecipient awardees. Subrecipients must develop and implement policies, procedures and processes to deliver and maintain projects/services. Projects/services may be provided by the subrecipient directly or in partnership with governmental, private sector or non-profit partners. At the conclusion of the term specified in the subrecipient agreement, the grant will be closed. DEO, with support provided by its designated partners, will monitor subrecipients throughout the life of the project.

**Figure 2** illustrates the preliminary process;



*Figure 2: Preliminary Process—Application, Evaluation and Award*

**Figure 3** illustrates the implementation process.



*Figure 3: Implementation Process—Service, Delivery and Compliance*

## 2.3 Rebuild Florida Critical Facility Hardening Program (CFHP)

For purposes of the CFHP, critical facilities are defined as the buildings/structures that serve a public safety purpose for local communities. Critical facilities are essential for the functioning of a community and typically house operations that, if interrupted, will cause a negative impact on at least one of the seven community lifelines. (See Part 2.1 and Figure 1 for lifeline descriptions.)

DEO has identified the following types of structures as critical facilities:

- Potable water facilities;
- Waste water facilities;
- Police departments;
- Fire departments;
- Hospitals;
- Emergency operation centers; and
- Emergency shelters.

The CFHP will allow Units of General Local Government (UGLG), state agencies, non-profits and non-governmental agencies that apply in partnership with their local UGLG or state agencies, to harden critical buildings that serve a public safety purpose for local communities. This program will enable critical facilities to better withstand the effects of previously-identified hazard risks.

Applicants for this program will need to identify critical facilities that need hardening and/or update or replace existing power sources (such as generators or resiliency systems) to mitigate emergency-related challenges.

DEO has allocated \$75,000,000 in CDBG-MIT funding for the Rebuild Florida CFHP. DEO will use a subrecipient model to deliver funding for approved projects. Funding will be awarded to selected subrecipients through a RFA process in accordance with established application guidelines and evaluation criteria. This is not a direct grant program.

An overview of the CFHP program is illustrated in **Table 2**.

**Table 2: CFHP**

Rebuild Florida Critical Facility Hardening Program	
Funding Dollars	\$75,000,000
Funding Percentage	12%
Application Type	Subrecipient
Applicant Eligibility	UGLG, state agencies and other applicants including, but not limited to, non-profits and non-governmental agencies that apply in partnership with their local UGLG or state agencies.
Geographic Eligibility	HUD and State-Designated (Most Impacted and Distressed (MID) areas
National Objectives Fulfilled	Low-to-Moderate Income (LMI) and Urgent Need
Hazard Risks Addressed	Flooding, Severe Storms, Tropical Cyclones, Coastal Erosion, Wildfires
Lifelines Protected	Safety and Security, Health and Medical, Energy, Communications

## 2.4 Eligible Activities

Eligible activities are limited to projects that increase the resilience of critical facilities. Examples include hardening and/or updating or replacing existing power sources (such as generators or resiliency systems) to mitigate emergency-related challenges.

Examples of hardening against flood, fire, storms and coastal erosion include, but are not limited to:

- Dry proofing;
- Wet proofing;
- Ventilation and air-conditioning units;
- Anchoring roof-mounted heating, ventilation and air-conditioning units; and
- Retrofitting building exteriors with hazard-resistant materials in accordance with national safety standards.

The CFHP will also consider energy resiliency projects that help ensure that the most critical facilities in Florida communities have access to power throughout and following an emergency when local sources of power are down. Critical facilities include, but are not limited to, potable water facilities, waste water facilities, police departments, fire departments, hospitals, emergency operation centers and emergency shelters. Local units of government that apply for this program will need to identify critical facilities that have a need to update or replace existing power sources (such as generators or resiliency systems) so as to allow these facilities to safely maintain power during emergencies.

## 2.5 Program Requirements

1. Activities must meet the National Objectives as outlined in Part 2.11.
2. At least 50% of funds must be spent in HUD-identified Most Impacted and Distressed (MID) areas. The remaining 50% can be spent on state-identified MID areas that were declared disaster areas eligible for both individual and public assistance.
3. CDBG-MIT requires that projects must be a quantifiable resilience improvement to a critical facility. Funds may not be used to supplant existing funding sources or programming.
4. Entities applying for funding through the CDBG-MIT program must submit an application that meets the criteria outlined in these Program Guidelines.
5. All CDBG-MIT projects must comply with all applicable federal, state and local requirements.

## 2.6 Application for Program Services and Benefits

- Only completed CFHP subrecipient applications, including all requested supporting documentation, will be considered. Further information on accessing the application is outlined in Part 3 of these Program Guidelines.
- Applications will only be accepted during the published application cycle. Additional intake periods may be opened at a later date depending on funding availability.

## 2.7 Priority Schedule

Applications will be accepted and eligibility for project funding will be determined based on a priority schedule to ensure compliance with the National Objectives and CFHP scoring criteria.

## 2.8 Eligible Areas

**Tables 3 and 4** identify areas eligible for CDBG-MIT funding; the HUD and State- identified MID areas.

**Table 3: HUD MID Area Counties and Zip Codes**

HUD MID Counties	Brevard, Broward, Clay, Collier, Duval, Hillsborough, Lee, Miami Dade, Monroe, Orange, Osceola, Palm Beach, Polk, St. Johns, St. Lucie, and Volusia
HUD MID Zip Codes	32084, 32091, 32136, 32145, 32771, 33440, 33523, 33825, 33870, 32068, 33935, 34266

The remaining 50% can be spent on state-identified MID areas that were declared disaster areas eligible for both individual and public assistance.

**Table 4: State MID Area Counties**

State MID Area Counties	Alachua, Baker, Bradford, Charlotte, Citrus, Columbia, DeSoto, Dixie, Flagler, Gilchrist, Glades, Hardee, Hendry, Hernando, Highlands, Indian River, Lafayette, Lake, Leon, Levy, Manatee, Marion, Martin, Nassau, Okeechobee, Pasco, Pinellas, Putnam, Sarasota, Seminole, Sumter, Suwannee, Union
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## 2.9 Allocation

A total of \$75,000,000 has been set aside for the CFHP. This allocation may be increased or decreased based on the demand for the program by amendment to the Action Plan, subject to approval by HUD.

## 2.10 Application & Assistance Timeline

The application submission cycle for the CFHP will open on April 15, 2020 and close on June 30, 2020.

To receive access to DEO's user-friendly electronic application, instructions and submission checklist, a CFHP Application Registration is available. This registration will remain open throughout the submission cycle. To register, applicants should open this link: [floridajobs.org/rebuildflorida/mitigation](http://floridajobs.org/rebuildflorida/mitigation) and click "Application Packet". A contact name and email address will be requested. Once registered, the applicant will be emailed an individualized application weblink. The application packet will be available on the CDBG-MIT website.

Applicants are encouraged to use the electronic application; however, applicants can instead use a fillable PDF application that will be available. The PDF version can be downloaded, completed and submitted online to [cdbg-mit@deo.myflorida.com](mailto:cdbg-mit@deo.myflorida.com) or mailed to:

Attention: Rebuild Florida Mitigation Team  
 Office of Long-Term Resiliency  
 Florida Department of Economic Opportunity  
 107 East Madison Street  
 Caldwell Building, MSC 400  
 Tallahassee, FL 32399-2100

If a paper application is submitted in lieu of an electronic application, two identical hard copies must be submitted. Paper applications must be postmarked by June 30, 2020.

Late applications will not be accepted, under any circumstance, including delivery problems. DEO will email application receipt confirmations as they arrive and/or by close of business on July 7, 2020.

DEO will host a webinar to provide an overview of the Guidelines, specific to the application process. The webinar will include a live question and answer period. DEO will also provide an opportunity for applicants to schedule 20-minute, one-on-one phone calls with DEO's mitigation staff. These calls will provide applicants an opportunity to ask questions and/or discuss issues specific to their project and the application process.

Throughout the entire application cycle, technical assistance is also available by sending inquiries to the Mitigation email inbox at [cdbg-mit@deo.myflorida.com](mailto:cdbg-mit@deo.myflorida.com). The program will respond to all inquiries in a timely manner. Applicants may also visit the program webpage to view the progress bar at the top of the page which indicates the status of the application cycle.

To ensure that citizens remain informed, DEO will provide regular updates on the CDBG- MIT website. Translations of all application materials will be provided.

## 2.11 National Objectives

All projects supported by HUD Community Development Block Grant (CDBG) assistance must meet one of the CDBG's three National Objectives:

1. Benefiting low-and-moderate income (LMI) persons;
2. Meeting an urgent need; or
3. Aiding in the prevention or elimination of slums or blight.

DEO's CFHP allocation is focused on addressing LMI and Urgent Needs. (Per the Federal Register, the slum and blight criteria are "generally not appropriate" in the context of mitigation activities and would require special prior approval from HUD to be considered.)<sup>1</sup>

The CFHP program will provide benefits to LMI persons by addressing severe and recently-arising urgent community welfare or health needs. LMIs are identified as those persons/households with an income of less than 80% of the local area's median income. HUD requires that at least 50% of CDBG-MIT funds to benefit LMI persons/households.

CFHP applicants will be required to identify the entire area (non-LMI and LMI) that will benefit from their project. DEO will then utilize HUD guidance to calculate the LMI benefit percentage of each project. Applicants will be awarded points on a sliding scale (see Scoring Criteria Rubric (see Table 6, pg. 22) in proportion to their project's LMI percentage.

Also, as required by the Federal Register, the state will designate at least 50% (\$316,742,500) of the CDBG-MIT allocation to address mitigation and resiliency needs in the HUD-identified MID areas. The remaining 50% can be spent on state-identified MID areas that were declared disaster areas eligible for both Federal Emergency Management Agency (FEMA) and Public Assistance, categories A - G.

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<sup>1</sup> Federal Register – 6109-N-02, V.A.13.f.

**Figure 4** is a map of HUD and State-designated MID areas.

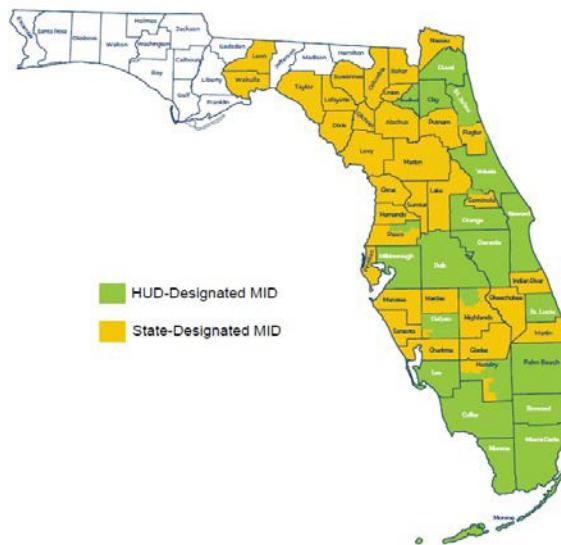


Figure 4: Mitigation HUD and State MID Areas

## 2.12 Program Management

DEO is the agency responsible for the administration of mitigation funds allocated to infrastructure and planning and administrative activities in Florida. The CDBG-MIT program funded by HUD under Public Laws 115-56 and 115-123. DEO will implement the CFHP through subrecipients including: UGLGs; state agencies; non-profits that apply in partnership with their local UGLG or state agencies; and non-governmental agencies that apply in partnership with their local UGLG or state agencies.

DEO will execute subrecipient agreements with selected subrecipients, who will complete projects and/or deliver services. The program contact *for subrecipients only* is:

Attention: Rebuild Florida Mitigation Team  
 Office of Long-Term Resiliency  
 Florida Department of Economic Opportunity  
 107 East Madison Street  
 Caldwell Building, MSC 400  
 Tallahassee, FL 32399-2100  
 (833) 347-7863  
[cdbg-mit@deo.myflorida.com](mailto:cdbg-mit@deo.myflorida.com)

## 2.13 Equal Opportunity

Federal policies ensure that no person be excluded, denied benefits or subjected to discrimination on the basis of race, color, national origin, sex, disability or age under any program funded in whole or in part by CDBG-MIT funds. DEO and subrecipients may not discriminate in any of the following areas: deciding who will be admitted, or have access, to any CDBG-MIT funded program or activity; providing opportunities in, or treating any person with regard to, such a program or activity; or making employment decisions in the administration of, or in connection with, such a program or activity.

DEO requires any entity receiving assistance through CDBG-MIT grant to comply with the Part 3 requirements herein.

Any person who believes he or she, or any specific class of individuals, has been subjected to unlawful discrimination may file a complaint regarding the alleged discrimination with:

Office for Civil Rights  
Florida Department of Economic Opportunity  
107 East Madison Street  
Caldwell Building, MSC 150  
Tallahassee, FL 32399-4129  
(850) 921-3205  
[Civil.Rights@deo.myflorida.com](mailto:Civil.Rights@deo.myflorida.com)

Atlanta Regional Office of FHEO  
U.S. Department of Housing and Urban Development Five Points Plaza  
40 Marietta Street, 16<sup>th</sup> Floor  
Atlanta, GA 30303-2806  
(404) 331-5140  
[ComplaintsOffice04@hud.gov](mailto:ComplaintsOffice04@hud.gov)

Further information about eligibility for filing an equal opportunity complaint, time limits, instructions, and procedures may be found at:

[http://www.floridajobs.org/office-directory/office-for-civil-rights/about-our-services/discrimination-complaints.](http://www.floridajobs.org/office-directory/office-for-civil-rights/about-our-services/discrimination-complaints)

## 2.14 Conflict of Interest

State officials and employees, DEO employees, subrecipients, contractors and consultants who exercise functions with respect to CDBG-MIT activities or who are in a position to participate in a decision-making process or gain inside information with regard to such activities, are prohibited from receiving any benefit from the activity either for themselves or for those with whom they have family or business ties, during their tenure.

For purposes of this section, “family” is defined to include parents (including mother-in- law and father-in-law), grandparents, siblings (including sister-in-law and brother-in-law) and children of an official covered under the conflict of interest regulations at 24 CFR 570.489(h).

Per 24 CFR 570.489(h)(2) – Conflicts prohibited: Except for eligible administrative or personnel costs, the general rule is that no one who exercises or has exercised any functions or responsibilities with respect to CDBG-MIT activities assisted under this subpart or who are in a position to participate in a decision-making process or gain inside information with regard to such activities, may obtain a financial interest or benefit from the activity, or have an interest or benefit from the activity, or have an interest in any contract, subcontract or agreement with respect thereto, or the proceeds thereunder, either for themselves or those with whom they have family or business ties, during their tenure or for one year thereafter.

Per 24 CFR 570.489(h)(4) – An exception to the conflict of interest provision may be granted after it is determined that such an exception will serve to further the purpose of the Act and the effective and efficient administration

of the program or project of the state or unit of general local government as appropriate. An exception may also be granted should it be determined that all of the concerns generated by the potential conflict of interest have been adequately and publicly addressed and that an exception would serve to further the purposes of Title I of the Housing and Community Development Act of 1974 and the effective and efficient administration of the program. No participant will enter into a conflict of interest until a request for an exception has been granted by DEO.

## 2.15 Anti-Fraud, Waste, and Abuse Policies

HUD requires policies to prevent fraud, waste and abuse. DEO is committed to aggressively detecting and eradicating fraud, waste, and abuse to ensure that DEO- administered programs provide services to customers effectively and efficiently and that taxpayer funds are protected. Each employee, customer and partner has a role and responsibility to ensure that program and service delivery is in compliance with local, state and federal laws and policies and that any incidents are reported immediately for investigation and resolution.

Rebuild Florida constituents, employees and contractors may report suspected fraud, waste, or abuse by contacting Constituent Management Services staff, submitting information via the Report Fraud, Waste or Abuse online form (<http://floridajobs.org/rebuildflorida/report>; (all contact information fields are optional to allow for anonymity) or by sending an e-mail to [cdbg-dr\\_antifraudwasteabuse@deo.myflorida.com](mailto:cdbg-dr_antifraudwasteabuse@deo.myflorida.com).

All suspected cases of fraud will be taken seriously, and complaints will be reported to OLTR's Compliance and Reporting Manager and DEO's Office of the Inspector General at [OIG@deo.myflorida.com](mailto:OIG@deo.myflorida.com). If DEO's OIG determines that it is appropriate, it will coordinate its investigation with agencies such as the Florida Office of the Inspector General, the Florida Office of the Attorney General, or the Florida Department of Business and Professional Regulation.

All substantiated cases of fraud, waste, or abuse of government funds will be forwarded to the United States Department of Housing and Urban Development (HUD), Office of Inspector General (OIG) Fraud Hotline (phone: 1-800-347-3735 or email: [hotline@hudoig.gov](mailto:hotline@hudoig.gov)) and DEO's HUD Community Planning and Development (CPD) Representative. *OLTR must provide a timely response within 15 working days of the receipt of a complaint, as stated in 84 FR 169.*

Office of Long-Term Resiliency's comprehensive Anti-Fraud Waste and Abuse Policy can be found [here](#).

## 2.16 Files, Records and Reports

DEO and subrecipients will maintain accurate files and records on its projects and DEO will retain all pertinent documentation for the grant between HUD and DEO. Compliance will be maintained in accordance with the reporting requirements as outlined in the DEO Policies and Procedures Manual (at [www.floridajobs.org/rebuildflorida/mitigation](http://floridajobs.org/rebuildflorida/mitigation)).

All official records on project activities are maintained for a five-year period beyond the date of grant closeout.

## 2.17 Public Records

The Office of Long-Term Resiliency's Constituent Services Manager will act as a Public Record Division Liaison and is the primary contact for all public record requests regarding the Office of Long-Term Resiliency/Rebuild Florida Program. The Office of Long-Term Resiliency's Public Record Division Liaison will coordinate with the respective managers of each program to determine (1) what is and what is not a responsive record; and (2) where to find all responsive records.

Pursuant to Article 1, Section 24, Florida Constitution, and Chapter 119, Florida Statutes, DEO is subject to Florida's public records laws. Accordingly, unless an exemption exists, all records produced or received pursuant to law or in connection with the official business of DEO can be requested and provided for inspection. Subrecipients

participating in the CFHP are also subject to Florida's public records laws. All public records requests made to DEO will be processed in accordance with DEO Administrative Policy 1.06, Processing Public Records Requests. Public records held by subrecipients may be requested by contacting the relevant subrecipient.

Detailed guidance on public records requests can be found in the following resources: Florida Government in the Sunshine Manual:

[http://myfloridalegal.com/webfiles.nsf/WF/RMAS-9UPM53/\\$file/2015SunshineLawManual.pdf](http://myfloridalegal.com/webfiles.nsf/WF/RMAS-9UPM53/$file/2015SunshineLawManual.pdf)

Florida Public Records Law, Chapter 119, Florida Statutes:  
[http://www.leg.state.fl.us/statutes/index.cfm?App\\_mode=Display Statute&URL=0100-0199/0119/0119.html](http://www.leg.state.fl.us/statutes/index.cfm?App_mode=Display Statute&URL=0100-0199/0119/0119.html)

## 2.18 Section 3

The Housing and Urban Development Act of 1968, Section 3, mandates that recipients of CDBG-MIT funding provide, to the greatest extent possible, training, employment, contracting and other economic opportunities to low and very low-income persons or business concerns that provide economic opportunities to LMI persons.

The Section 3 numerical goals are minimum targets that must be reached for HUD to consider a recipient in compliance. If an entity fails to fully meet the Section 3 numerical goals, it must adequately document the efforts taken to meet the numerical goals. The minimum numerical goal for employment is 30% of the aggregate number of new hires must be Section 3 residents, annually; i.e., three out of 10 new employees needed to complete a Section 3 covered project / activity must be Section 3 residents. The minimum goals for contracting are:

- 10% of the total dollar amount of all Section 3 covered contracts for building trades work for maintenance, repair, modernization or development of public or Indian housing or building trades work arising in connection with housing rehabilitation, housing construction and other public construction, must be awarded to Section 3 businesses; and
- 3% of the total dollar amount of all non-construction Section 3 covered contracts must be awarded to Section 3 businesses.

## 2.19 Environmental Review

All CDBG-MIT and related activities are subject to the provisions of the National Environmental Policy Act of 1969 (NEPA), as well as the HUD environmental regulations provided in 24 CFR part 58. The primary purpose of these regulations is to protect and enhance the quality of the natural environment. In accordance with 24 CFR 58.35(a), the locations associated with CFHP activities are categorically excluded subject to 24 CFR 58.5 and 58.6. To meet these requirements, the subrecipient is responsible for ensuring that environmental reviews are completed for all projects. The environmental review must be completed prior to any funds being allocated toward a project.

The CFHP environmental review is a separate and distinct review from any other review. Other previously performed environmental reviews will not satisfy the program's requirements. If an environmental condition identified on a proposed CFHP activity site cannot be cleared, the site may not be an eligible location for activities.

DEO currently has staff that will oversee environmental compliance. Additionally, the current staff may be augmented by external vendors procured through competitive solicitation.

## 2.20 Program Income

This program will not create program income.

## Part 3: Subrecipient Applicant Information

DEO will use a subrecipient model to deliver the CFHP. Subrecipients will be selected through a competitive RFA process. This program is not a direct grant program. No funds will be paid directly to individuals.

### 3.1 Eligibility

Entities that are eligible to apply include:

- Units of General Local Government (UGLG);
- State agencies;
- Non-profits that apply in partnership with their local UGLG or state agencies; and
- Non-governmental agencies that apply in partnership with their local UGLG or state agencies.

To be eligible for funding, a grant application must:

1. Be in conformance with the State Mitigation Plan and Local or Tribal Mitigation Plan approved under 44 CFR part 201.4; or for Indian Tribal governments acting as grantees, be in conformance with the Tribal Mitigation Plan approved under 44 CFR 201.7;
2. Have a beneficial impact upon the designated disaster area;
3. Solve a problem independently or constitute a functional portion of a solution in which there is assurance that the project will be completed. Projects that merely identify or analyze hazards or problems are not eligible;
4. Consider the following for any hardening project that encompasses flood mitigation: high wind, continued sea level rise and ensure responsible floodplain and wetland management based on the history of flood mitigation efforts and the frequency and intensity of precipitation events;
5. As a condition of consideration for project funding, applicants will be required to identify their plans for funding operations and maintenance costs (when applicable). Long-term maintenance and operating costs are ineligible under CDBG-MIT funding except as identified at 84 FR 45838 Section V.A.9.
6. Be cost-effective and substantially reduce the risk of future damage, hardship, loss or suffering resulting from a major disaster. The grantee must demonstrate this by documenting that the project:
  - A. Addresses a problem that has been repetitive or a problem that poses a significant risk to public health or safety if left unsolved;
  - B. Will not cost more than the anticipated value of the reduction in both direct damages and subsequent negative impacts to the area if future disasters were to occur;
  - C. Has been determined to be the most practical, effective and environmentally sound alternative after consideration of a range of options;
  - D. Contributes, to the extent practicable, to a long-term solution to the problem it is intended to address; and
  - E. Considers long-term changes to the areas and entities it protects and has manageable future maintenance and modifications requirements.

### 3.2 Request for Applications

The application cycle will open on April 15, 2020 and close on June 30, 2020. To register for an application, applicants should open this link: [floridajobs.org/rebuildflorida/mitigation](http://floridajobs.org/rebuildflorida/mitigation) and click "Application Packet". A contact name and email address will be requested. Once registered, the applicant will be emailed an individualized application weblink.

### 3.3 Application Process

Eligible applicants will be invited to submit applications proposing CFHP projects for funding through the CDBG-MIT program. Responses will be evaluated to ensure the proposed projects meet the minimum criteria as outlined in the application materials provided in Appendices A – E of these Guidelines. Responses that meet minimum threshold requirements will then be evaluated according to the scoring criteria listed in Part 4. Acceptable applications will be ranked to determine funding levels. The following appendices are included in these Guidelines:

- Appendix A – Application Form
- Appendix B – Application Checklist and Instructions
- Appendix C – Completed Sample Application
- Appendix D – Implementation Plan Template
- Appendix E – Budget Template

### 3.4 Application Requirements

Applications must, at a high level\*, describe what is being identified as a critical facility and address how and why it needs to be hardened to mitigate risks attributable to threats identified in the State of Florida Action Plan Risk-Based Mitigation Needs Assessment. Plans must also include a proposed budget with a detailed description of anticipated costs by category, including support services and program management and administration.

\*Application requirement *specifics* are delineated in Part 4 of these Guidelines and in Appendices A – E.

All proposed activities must be CDBG-MIT eligible (see Part 3.1, Eligibility). Responses may include proposed subrecipient partnerships with public, private or non-profit entities to deliver CFHP projects. If an applicant intends to utilize a partnership to complete a project, the response must document how partners will be selected. Any entity that is listed as excluded, debarred or suspended on the System for Award Management (<https://sam.gov/SAM/>), including affiliated businesses with the same Employer Identification Number (EIN), is not eligible to receive CFHP funds and may not be selected as a subrecipient, partner, subcontractor or vendor.

### 3.5 Applicant Review Process Responsiveness

During the application review process, applicants are required to respond in a timely manner to DEO requests for information/materials to complete the evaluation process. Any request for additional information will include a definitive due date for return of requested information. If the applicant needs an extension, a clarification or assistance, the applicant may make its request within the allotted response timeframe. If an applicant fails to provide the requested information/materials or fails to ask for an extension or assistance, the applicant's response will be closed and disqualified.

## Part 4: Scoring

### 4.1 Award Determination

Applications will be evaluated to determine the mitigation value and cost effectiveness of the proposed project. An applicant's planning strategy and management capacity must be evident.

DEO will apply a two-phase process to review applications.

1. Phase One: Applications will first be evaluated for Mandatory Threshold Compliance Criteria, Table 5. This phase is unscored. DEO will further review only the applications that pass Phase One.
2. Phase Two: If the Mandatory Threshold Criteria is in compliance, the second phase of the review process will be initiated. Applications will be reviewed and scored based on Scoring Criteria Evaluation Rubric, **Table 6**.

Only the application itself (including requested attachments) will be scored. Any documents submitted with the application *that were not requested* will not be scored. Each evaluator will review and score applications independently. The reviewers' scores will be averaged to determine a final score for each application.

Each element of the Scoring Criteria Evaluation Rubric has a value associated with it. A potential maximum of 150 points may be awarded. If eligible responses exceed available funding, applicants will be funded in rank order based on evaluation scores. DEO reserves the option to fund all, a portion of or none of each application submitted by an applicant.

**Table 5: Mandatory Threshold Compliance Criteria**

Threshold Criteria – Part A
Application is signed and complete.
Application was submitted on time.
Applicant is an eligible UGLG, state agency, or non-profit or non-governmental entity that applied in partnership with a UGLG or state agency.
Applicant's project benefits HUD and/or state-identified MID areas.

**Table 6** is the Program Scoring Evaluation Rubric

**Table 6: Program Scoring Evaluation Rubric**

Available Points	Scoring Categories	Evaluation Rubric
20 pts	Project Description	Write an overview/summary, not to exceed 2,500 words, of the project being proposed. 1) State the project purpose and include a description of the critical facility to be hardened. 2) Specify the risk(s) that will be mitigated by completion of this project. 3) Describe how the work will be done and the team that will do it. 4) Explain the method used to determine project funding requirements. 5) Describe anticipated outcomes. 6) Describe how the facility will be maintained after it is hardened.

20 pts	Community Value	Describe, in 1,500 words or less, the critical facility's value to the community in normal circumstances and in times of natural disasters. Which of the seven community lifelines will be served by completion of this project? How does this project enhance community resilience? Does the facility have any cultural or historical significance? Attach a maximum of ten photographs that provide both interior and exterior views. (Reference CFHP Application Form, Appendix A, Page 35 for photo submission instructions.)	
15 pts	Capacity Plan	Provide a strategic plan overview of 1,500 words or less that addresses goals, stakeholders, the work plan, (major tasks and deliverables), resources (staffing and budget) and monitoring/quality controls. Identify the staff members who will be responsible and/or positions that will be filled for CFHP project management and maintenance. Provide a short profile on each person on your current staff who perform project-related tasks and a position description for any new hires who will be assigned to project work. Have any project contractors been identified? If so, briefly describe your selection process.	
10 pts	Implementation Plan	Use the Implementation Plan Template provided in Appendix D to prepare a chronological timeline for the entire life of the project that organizes work into logical, manageable tasks and deliverables.	
10 pts	Budget	Include your project budget using the Budget Template provided as Appendix E and also on page 37 in the CFHP Application in Appendix A. Ensure your budget is reasonable, appropriate and accurate. Are the budgeted items consistent with the project description and tasks? Does the amount requested fall within the CFHP's allowable minimum (\$50,000) and maximum (\$15,000,000)? Ensure there is no duplication of benefits. <i>Reference Part 5.4. herein.</i>	
10 pts	Leveraged Dollars	If your project involves the qualified use of matching or leveraged funds or services, describe the specifics of leveraged fund/service usage. Ensure there is no duplication of benefits. <i>Reference Part 5.4. herein.</i>	
10 pts	MID areas	HUD MID areas are determined through federal designation. DEO does not have input on the designation of HUD MID areas. State-identified MID areas were identified by the state of Florida as areas of need that were not specified by HUD. State MID areas include counties that were eligible for FEMA Individual and Public Assistance Categories A-G in presidentially-declared counties for each of the included storms. Projects in HUD MID areas will receive 10 points. Projects in State MID areas will receive 5 points.	
30 pts	Overall Benefit	LMI	Provide the specific block groups for the project area of benefit. Projects that benefit areas comprised of higher percentages of LMI individuals will be awarded a higher score than projects with lower percentages. For example, if the service area LMI is 65%, DEO will multiply this percentage by 30 (the maximum amount of points available) to receive a final LMI score of 19.5 points. The LMI percentage will be calculated by DEO using the formula outlined on the CDBG LMI Data website:

		<a href="https://www.hudexchange.info/programs/cdbg/cdbg-low-moderate-income-data/">https://www.hudexchange.info/programs/cdbg/cdbg-low-moderate-income-data/</a>
10 pts	Social Vulnerability	<p>The Hazard and Vulnerability Research Institute's Social Vulnerability Index (SoVI®) measures the social vulnerability of U.S. counties to environmental hazards. This index synthesizes 29 socioeconomic variables that impact a community's ability to prepare for, and respond to, disasters<sup>2</sup>. The index of variables includes, but is not limited to, age, sex, race, income and unemployment rate. A map and full list of variables is located in Appendix F. If your project area of benefit crosses county boundaries we will award points based on the higher scoring county.</p> <p>Points Available Based on SoVI® Score</p> <ul style="list-style-type: none"> <li>• 2 pts = Low (Bottom 20%)</li> <li>• 4 pts = Medium Low</li> <li>• 6 pts = Medium</li> <li>• 8 pts = Medium High</li> <li>• 10 pts = High (Top 20%)</li> </ul>
15 pts	Special Designations	If your project will benefit one of the following special designations, identify the designation: an Area of Critical State Concern according to Florida Statutes 380.05; a Rural County as defined by the Office of Management and Budget; or a Fiscally-Constrained County according to Florida Statutes 218.67. Five points will be awarded for each special designation in your service area.
150 pts		Total maximum score

## 4.2 Evaluation Team

Applications will be independently reviewed and scored by DEO staff.

## 4.3 Technical Assistance

DEO is hosting a webinar, 20-minute, one-on-one phone calls and regional visits to provide an overview of these Guidelines, specific to the application process. In addition, designated partners will provide technical assistance to subrecipients related to CDBG- MIT requirements and compliance. Assistance will also be provided as a result of monitoring activities and at subrecipient request.

## 4.4 Subrecipient Responsibilities

Subrecipients have the following responsibilities and must:

- Demonstrate how the proposed project meets one or more of the national objectives;
- Submit an accurate account of how the absence of the hardening project being requested has affected the community's critical services during disasters;

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<sup>2</sup> Hazards & Vulnerability Research Institute, "Social Vulnerability Index for the United States - 2010-2014" Retrieved from <http://artsandsciences.sc.edu/geog/hvri/sovi%C2%AE-0>

- Submit a detailed scope of work;
- Provide evidence that selected site has appropriate approvals;
- Enter into a subrecipient agreement with DEO specific to the CFHP;
- Comply with all terms and conditions of the subrecipient agreement, CFHP guidelines, Mitigation Action Plan and applicable federal, state and local laws;
- Develop policies and procedures to detect and prevent fraud, waste and abuse that describe how the subrecipient will verify the accuracy of information and report instances of suspected fraud, waste or abuse;
- Develop policies and procedures for complaints and grievances and for appeals. These policies and procedures must be made available to participants and participant applicants;
- Update application or program policies and procedures upon DEO request;
- Document all complaints, grievances and appeals received. To comply with HUD requirements, a response to each complaint, grievance or appeal must be made within 15 working days of receipt;
- Maintain books, records and documents relating to the CFHP in accordance with generally-accepted accounting procedures and practices which sufficiently and properly reflect all expenditures of funds provided by DEO under this program. All records must be maintained for five years beyond the closeout of the grant;
- Retain sufficient records to document program activities, participants and services and to demonstrate compliance with the CFHP Program Guidelines, subrecipient agreement and applicable federal, state and local laws and regulations. All records must be maintained for five years beyond the closeout of the grant;
- Ensure that any partners, subcontractors, vendors or other entities to whom the subrecipient intends to disburse CFHP funds are not listed as excluded, debarred, or suspended on the System for Award Management (<https://sam.gov/SAM/>), including affiliated businesses with the same EIN;
- Comply with the requirement that subrecipients will not carry out any of the activities under their agreement with DEO in a manner that results in a prohibited duplication of benefits as defined by Section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1974;
- Provide a detailed timeline for implementation consistent with the milestones outlined in these Program Guidelines and report actual progress against the projected progress on a monthly basis;
- Provide a quarterly report to DEO that outlines the activities completed in the previous quarter.
  - The report must include financial metrics that demonstrate the implementation costs to date with projected spending.
  - Reporting must include documentation of the number of complaints received, the nature of the complaint, and that complaint was responded to within 15 days of receipt.
  - Additional quarterly reporting requirements may be required, depending on the specific program design implemented by a subrecipient.
- Provide a monthly report to DEO that details the grant funding approved versus funding disbursed;
- Monitor compliance with the terms and conditions of the subrecipient agreement; and
- Maintain organized files and make them accessible to DEO or its representatives upon request.

## 4.5 Compliance and Monitoring of Subrecipients

As a recipient of federal funds, DEO is charged with ensuring that any subrecipient has the capacity and means to deliver projects and services and that the costs of its activities are allowable, reasonable and necessary. Therefore, each subrecipient assessed by DEO must demonstrate the organizational capacity and implementation experience necessary to deliver services. Each subrecipient must meet performance metrics for implementation. Additionally,

all subrecipients will be subject to routine monitoring and compliance review by DEO or its representatives based on an initial risk analysis. Monitoring will include:

- Evaluation of the subrecipient's organization, procurements, policies and procedures;
- Compliance with Stafford Act (i.e., no duplication of benefits);
- Necessary, reasonable and allowable cost standards;
- Financial management, file management and documentation;
- National objective compliance; and
- Reporting and compliance with these Guidelines, the Subrecipient Agreement and any applicable laws and regulations.

DEO will monitor the CFHP directly and through designated partners. This includes verification of official documents against state records, review of application materials and expense documentation and physical site visits to verify compliance and appropriate use of funds. Additional reviews may be conducted by HUD. Monitoring reviews may be announced or unannounced. Monitoring may take place on site or remotely. Reviewed documentation may be randomly selected. Project applications and documentation must be maintained and made available by subrecipients. All monitoring results will be recorded in detail for program compliance and use of funds.

Any issues of non-compliance may be categorized as either findings or observations. Subrecipients found to be in non-compliance, or who received funds in error, may be required to repay grant funds to the state of Florida, in accordance with the subrecipient agreement.

## 4.6 Construction Regulations

The Davis-Bacon Act (DBA) applies to all construction contracts greater than \$2,000 unless the program's authorizing legislation contains exceptions. It requires that all workers or mechanics working on projects covered by the Act be paid minimum hourly wages and fringe benefits according to the wage decision(s) applicable to that contract. If any portion of a contract requires DBA, then all work performed under the contract is subject to DBA. Work done by a local government's employees (force account) is not subject to DBA.

Additionally, contractors must comply with the Contract Work Hours and Safety Standards Act (CWHSSA) and the Copeland Anti-Kickback Act (Copeland Act). CWHSSA requires that, for any project in which the prime contract exceeds \$100,000, workers must be paid one-and-one-half their normal hourly rate for any hours worked in excess of 40 hours weekly, based on a workweek of seven consecutive days. The Copeland Act prohibits any person from inducing a worker on a federally-funded project to give up any part of the compensation to which the worker is entitled. No payroll deductions are permitted that are not specifically listed in the Copeland Act unless the contractor has obtained written permission from the employee as specified in 29 CFR 3.5 for otherwise permissible payroll deductions.

## 4.7 Appeals

### 4.7.1 Informal Appeals

Appeals may be filed only upon the deliverance of an adverse program decision regarding eligibility, benefits or closure of an application and only within the parameters set by the appeals procedure. Participants may not appeal program policy. If an applicant is denied program services or benefits and desires to appeal, an appeal may be filed with DEO as follows:

- All appeal letters must be submitted in writing within 30 days of the date of the denial letter via:
  - U.S. mail to:

Attention: Office of Long-Term Resiliency, Appeals Team  
Florida Department of Economic Opportunity  
107 East Madison Street  
Caldwell Building, MSC 400  
Tallahassee, FL 32399

- Email to: [cdbg-drappeals@deo.myflorida.com](mailto:cdbg-drappeals@deo.myflorida.com)

- All appeal letters must include:
  - Reason for appeal;
  - A clear explanation why the denial was contrary to applicable laws or regulations or in some other way inequitable;
  - Reason why individual is believed to be eligible for the service or benefit that was denied, delayed, reduced, modified or terminated;
  - Proposed remedy sought by the individual;
  - Name, contact address and contact telephone number of entity filing appeal; and
  - Signature and date.

An appeal initiated by an applicant with DEO must follow written appeal procedures, which may include, but not be limited to, informal hearings, third-party review or administrative review. A determination letter will be sent, post-appeal, to the entity that initiated the appeal. Applicants may contact DEO for more information on the appeal procedure.

#### **4.7.2 Formal Appeals /Notice of Administrative Rights**

Any person whose substantial interests are affected by DEO's determination has the opportunity for an administrative hearing pursuant to section 120.569, Florida Statutes. For the required contents of a petition challenging agency action, refer to rules 28- 106.104(2), 28-106.20(2), and 28-106.301, Florida Administrative Code.

Depending on whether material facts are disputed in the petition, a hearing will be conducted pursuant to either sections 120.569 and 120.57(1), Florida Statutes, or sections 120.569 and 120.57(2), Florida Statutes. Pursuant to section 120.573, Florida Statutes, and Chapter 28-106, Part IV, Florida Administrative Code, mediation is available to settle administrative disputes. Any petition must be filed with the Agency Clerk within 30 calendar days of receipt of DEO's determination

If an applicant files a request for reconsideration or informal appeal, the requirement to timely file a petition challenging agency action will be tolled until a decision under either method is rendered by the Department. At that time a new appeal window will begin. No applicant will lose their rights under Chapter 120, Florida Statutes, by filing a request for reconsideration or request for informal appeal.

Any petition must be filed with the Agency Clerk within 30 calendar days of receipt of this determination. A petition is filed when it is received by:

Attention: Agency Clerk  
Department of Economic Opportunity  
Office of the General Counsel  
107 East Madison Street, MSC 110  
Tallahassee, Florida 32399-4128

Fax: (850) 921-3230

Email: [Agency.Clerk@deo.myflorida.com](mailto:Agency.Clerk@deo.myflorida.com)

## Part 5: Financial

### 5.1 Eligible & Ineligible Costs

All costs must be CDBG-MIT eligible. All costs must comply with the requirements of 24 CFR 570 and 2 CFR 200. Eligible costs for the CFHP include, but are not limited to:

- Training;
- Materials and supplies related to CFHP training activities;
- Dry proofing;
- Wet proofing;
- Anchoring roof-mounted heating;
- Ventilation and air-conditioning units;
- Retrofitting building exteriors with hazard-resistant materials in accordance with national safety standards;
- Energy resiliency;
- Support services;
- Participant outreach; and
- Program management and administration.

Ineligible costs for the CFHP include, but are not limited to:

- Material or supply costs unrelated to CFHP training activities;
- Furnishings and personal property, including motor vehicles and fixtures;
- Costs incurred prior to the date of execution of a subrecipient agreement;
- Political activities or lobbying;
- Payments to a for-profit business while that business or business owner is the subject of unresolved findings for non-compliance with CDBG assistance; or
- Any costs determined as unallowable or ineligible pursuant to applicable state or federal laws or regulations, or guidance from HUD, DEO or any applicable state or federal agency.

### 5.2 Funding Method

Funding will be provided monthly on a cost reimbursement basis. Subrecipients must verify all documentation and costs before submission for cost reimbursement to DEO. Subrecipients must provide required reporting and supporting documentation to be reimbursed.

### 5.3 Supplanting Funds

Subrecipients must agree to utilize CFHP funds to supplement rather than supplant funds otherwise available. Subrecipients must document that CFHP funds awarded are above and beyond any annual appropriations that are provided for the same purpose. CFHP funds may be utilized after all other funds available to provide benefits to the participant for the same purpose have been expended. Any supplanting of funds will be treated as a duplication of benefits or fraud, waste and abuse, and is subject to recapture under the terms of the subrecipient agreement.

## 5.4 Duplication of Benefits (DOB) Overview

Eligible applicants may have previously received assistance from other sources for the same purpose as the CFHP. Under the requirements of *The Robert T. Stafford Disaster Assistance and Emergency Relief Act*, as interpreted and applied by HUD, DEO, and its subrecipients must consider certain aid received by a person or entity in determining the amount of assistance which can be granted. DEO and subrecipients must follow HUD's DOB Guidance. Applicants must provide any information on benefits received that may create a DOB to the appropriate subrecipient. The subrecipient must perform due diligence verification of DOB information.

The Supplemental Appropriations Act authorizing CDBG-MIT funding and the Stafford Act include restrictions on using CDBG-MIT program funds to provide assistance when insurance providers or other federal or state entities have already funded all or a portion of the activity. The Stafford Act also contains eligibility requirements for recipients who have received prior disaster funding based upon whether they are in compliance with requirements associated with receipt of those funds. When applicable, recipients must be in compliance with these restrictions or funding will be denied. Participants must report all financial assistance, other local, state, or federal programs, private or non-profit charitable organizations and any other assistance received for the purpose for which the participant is applying for, and receiving, funding or services.

Funds provided by any federal, state or local government entity, or non-profit or private source intended for the same purpose as the CFHP are considered a DOB and under federal law *must* be deducted from the assistance provided by the CFHP. Any additional funds paid to participants for the same purpose as the CFHP after the CFHP services are completed *must* be returned to DEO. Participants in the CFHP must agree to repay any duplicative assistance considered a DOB.

## 5.5 Subrogation

Subrogation is a legal doctrine that allows one person to take on the rights of another. In the context of mitigation grants, a CFHP participant must enter into a subrogation agreement in which the funding agency (DEO) obtains the right to collect any additional mitigation payouts the participant receives for the same purpose after the participant has entered into a grant agreement for CFHP benefits.

All duplicative funding received must be remitted to or accounted for by the program, regardless of when it is received by the entity. If an entity receives additional funding for the same purpose as the CFHP award, including after the CFHP award is executed or CFHP services are completed, the entity is required to report the additional funding to the program.

By accepting the award, subrecipients agree that they will report any duplicative funds to the program whenever received. Upon receipt of a report that additional benefits have been received, the program will recalculate the entity's award and provide instructions as to whether the award will be reduced by such amount, or whether the entity must remit such amounts to the program as reimbursement (when additional assistance received after program disbursements). Each subrecipient will execute and be bound by a subrogation agreement.

Subrecipients must agree to subrogate (commit to the state of Florida) any future payments they may receive after award from any sources that represent a potential DOB. The subrogation agreement requires the applicant to notify DEO if additional funds are received and to assist DEO in collecting any amounts owed to it from these sources. All parties shall comply with standard anti-fraud measures.

DEO will exercise all normal due diligence in collection of amounts owed through contact with awardees and will pursue investigation and collection efforts which may include demand letters, small claims court, filing of judgments, and/or other collection activity. Collection activity following demand letters will be determined in consultation with DEO and/or the Florida Attorney General's Office.

## 5.6 Budget

CDBG-MIT funds can be used to meet the local share of a matching requirement, or of cost-sharing or other contribution for federal or state grant programs if the funds are used to carry out an eligible CFHP mitigation activity. Funds can be matched from mitigation grants administered by FEMA and the United States Army Corps of Engineers. (The maximum amount for the US Army Corps of Engineers is \$250,000.) Activities that are funded with match dollars must meet the eligibility requirements of the CDBG-MIT program and the federal program that is being supported with CDBG-MIT funds.

Applicants should describe how they will seek to maximize the outcomes of investments and the degree to which CDBG-MIT funds will be leveraged, including through public- private partnerships and other federal, state, local, private and nonprofit sources to generate more effective and comprehensive mitigation outcomes. Leveraged funds for each activity must be identified in the Disaster Recovery Grants Reporting system.

## 5.7 Procurement Requirements

Federal, state and local procurement rules apply when purchasing services, supplies, materials or equipment. DEO and all subrecipients must abide by the procurement process mandated by federal and state government codes as they are applicable to the CFHP. The procurement process includes the decision to purchase as well as the process to complete the purchase.

The federal government has established a set of procurement rules in 2 CFR Part 200 that apply to CDBG-DR projects. 24 CFR 570.502 requires compliance with 2 CFR Part 200 for CDBG-DR projects, with certain limited exemptions (see also 24 CFR 85.36 and 24 CFR 84.40-48, as applicable). These rules are in place to ensure that federal dollars are spent fairly and encourage open competition for the best level of service and price. If a conflict between federal and local procurement regulations should occur, the more stringent regulation will be followed.

# Appendices

## Appendix A – Application Form

Date: 

<b>Rebuild Florida CDBG - Mitigation Critical Facilities Hardening Program Application</b>			
<b>Official Project Title</b>			
<b>Applicant Information</b>			
Official Applicant Entity Name: <input type="text"/>		FEIN #: <input type="text"/>  DUNS #: <input type="text"/>	
Primary Project Contact Name: <input type="text"/>  Title: <input type="text"/> E-mail: <input type="text"/>			
Mailing Address: <input type="text"/>  City: <input type="text"/>		Phone Number: <input type="text"/>  Zip Code: <input type="text"/>	
Please list co-applicant entities if any: <input type="text"/>		Contact Person: <input type="text"/>  <input type="text"/>	E-mail Address: <input type="text"/>  <input type="text"/>
<b>Project Description</b>			
Write an overview/summary, not to exceed 2,500 words, of the project being proposed. 1) State the project purpose and include a description of the critical facility to be hardened. 2) Specify the risk(s) that will be mitigated by completion of this project. 3) Describe how the work will be completed and the team that will be responsible. 4) Explain the method used to determine project funding requirements. 5) Describe anticipated outcomes. 6) Describe how the facility will be maintained after it is hardened.			
Insert Attachment: <input type="text"/>		Please title doc: EntityNamePD_CFHP <input type="text"/>	
<b>Community Value</b>			

Describe, in 1,500 words or less, the critical facility's value to the community in normal circumstances and in times of natural disasters. Which of the seven community lifelines will be served by completion of this project? How does this project enhance community resilience? Does the facility have any cultural or historical significance? Attach a maximum of ten photographs that provide both interior and exterior views.

Insert Attachment:		Please title zip folder: EntityNameCV_CFHP
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**Capacity Plan**

Provide a strategic plan overview of 1,500 words or less that addresses goals, stakeholders, the work plan, (major tasks and deliverables), resources (staffing and budget) and monitoring/quality controls. Identify the staff members who will be responsible and/or positions that will be filled for CFHP project management and maintenance. Provide a short profile on each person on your current staff who perform project-related tasks and a position description for any new hires who will be assigned to project responsibilities.

Have any project contractors been identified? If so, briefly describe your selection process.

Insert Attachment:

Please title doc: EntityNameCP\_CFHP

**Implementation Plan**

Prepare a chronological timeline for the entire life of the project that organizes work into logical, manageable tasks and deliverables. The Implementation Plan Template has been provided in Appendix D of the CFHP Guidelines.

Insert Attachment:

Please rename template: EntityNameIP\_CFHP

**Budget**

Include your project budget using the Budget Template found in Appendix E in the CFHP Guidelines. Ensure your budget is reasonable, appropriate and accurate. Are the budgeted items consistent with the project description and tasks? Does the amount requested fall within the CFHP applicant's allowable minimum (\$50,000) and maximum (\$15,000,000)? Ensure there is no duplication of

Insert Attachment:

Please rename template: EntityNameBudget\_CFHP

Is there any duplication of benefits?

Yes:

No:

All funds identified for use on your project must be fully disclosed and detailed to ensure budget accuracy and no duplication of benefits.

Do you anticipate receiving any funds for this project that will not be supplied by the CDBG-MIT program? If yes, detail the anticipated or committed funds in the Leveraged Dollars section.

Yes:

No:

**Leveraged Dollars**

If your project involves the qualified use of matching or leveraged funds or services in any capacity, (see Part 4.6 in the CFHP Guidelines) then describe the specifics of leveraged fund/service usage. Answer: 1) Are there local or other funds available to address the proposed project in whole or in part? If yes, report all sources of funding and the amount available. 2) Disclose sources and uses of non CDBG-MIT funds. 3) What other federal, state and/ or local entities have you contacted concerning funding for the proposed project and what were the results? Put "N/A" if this section is not applicable to your project.

**County Selection**

Select each county that your project benefits. DEO will use this information to assess MID, social vulnerability, rural and fiscally-constrained areas. Only counties eligible for CDBG-MIT funds are listed below.

<input type="checkbox"/>	Alachua	<input type="checkbox"/>	Flagler	<input type="checkbox"/>	Levy	<input type="checkbox"/>	Polk
<input type="checkbox"/>	Baker	<input type="checkbox"/>	Gilchrist	<input type="checkbox"/>	Manatee	<input type="checkbox"/>	Putnam
<input type="checkbox"/>	Bradford	<input type="checkbox"/>	Glades	<input type="checkbox"/>	Marion	<input type="checkbox"/>	Sarasota
<input type="checkbox"/>	Brevard	<input type="checkbox"/>	Hardee	<input type="checkbox"/>	Martin	<input type="checkbox"/>	Seminole
<input type="checkbox"/>	Broward	<input type="checkbox"/>	Hendry	<input type="checkbox"/>	Miami-Dade	<input type="checkbox"/>	St. Johns

Charlotte	Hernando	Monroe	St. Lucie
Citrus	Highlands	Nassau	Sumter
Clay	Hillsborough	Okeechobee	Suwannee
Collier	Indian River	Orange	Taylor
Columbia	Lafayette	Osceola	Union
DeSoto	Lake	Palm Beach	Volusia
Dixie	Lee	Pasco	Wakulla
Duval	Leon	Pinellas	

<b>Overall LMI Benefit</b> Identify and list the Census Tract number followed by all LMI Block Groups your project benefits. Example: Tract: 200, Block group: 2, 3; Tract: 2902, Block group: 1, 3, 4, 5, etc.
---

<b>Special Designations</b>		
Does your project benefit an Area of Critical State Concern according to Florida Statutes 380.05?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
What is the area of critical state concern?	Select Option	

<b>Compliance</b> <p>reviews and audits, including on-site reviews of any subrecipients, designated public agencies, and local governments, as may be necessary or appropriate to meet the requirements of section 104(e)(2) of the HCDA, as amended, as modified by this notice. In the case of noncompliance with these requirements, the State shall take such actions as may be appropriate to prevent a continuance of the deficiency, mitigate any adverse effects or consequences, and prevent a recurrence. The State shall establish remedies for noncompliance by any designated subrecipients, public agencies, or local governments.”</p>
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**Critical Facility Hardening Program Guidelines**

Can you certify to comply with state and federal register regulations as outlined in 84 FR 45838?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
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**Maintenance Agreement**

According to 84 FR 45838 August 30, 2019 Section V.A.2.a(10), "Each grantee must plan for the long-term operation and maintenance of infrastructure and public facility projects funded with CDBG-MIT funds. The grantee must describe in its action plan how it will fund long-term operation and maintenance for CDBG-MIT projects. Additionally, the grantee must describe any State or local resources that have been identified for the operation and maintenance costs of projects assisted with CDBG-MIT funds." As such, Federal Register expectations on maintenance for CDBG-MIT projects are expected to be maintained by each entity who proposes a CFHP project.

Can you certify that your entity will comply with state and subrecipient monitoring and maintenance requirements as outlined by 84 FR 45838?

Yes:

No:

**Sign and Date**

As the primary entity contact for this project, I certify that staff, contractors, vendors and community partners of our mitigation initiative:

- A. Will comply with all HUD and Florida requirements in the administration of the proposed CDBG-MIT funded activities;
- B. Will work in a cooperative manner to execute the Subrecipient Agreement that provides the pathway for successful CDBG-MIT program(s) and/or project(s) and;
- C. Certify that all information submitted in this Application is true and accurate

Signature:

Date:

Print button will only print application and not attached documents. Submit button will deliver application to email to the [cdbg-mit@deo.myflorida.com](mailto:cdbg-mit@deo.myflorida.com). Please attach all relevant documents to this email.

**Print Application**

**Submit Application**

## Appendix B – Application Checklist & Instructions

### Rebuild Florida CFHP Checklist



Project Name: \_\_\_\_\_

This Checklist is designed to aid the applicant through the application process. As such, it does not need to be submitted with the completed application to DEO.

<input checked="" type="checkbox"/>	<u>Application Information Required</u>
	Application is signed, dated and complete
	FEIN and DUNS numbers are provided
	Application is from an eligible UGLG, state agency, or non-profit or non-governmental entity that applied in partnership with a UGLG or state agency
	Project title, primary contact name, address and contact methods are provided
	Application project is clearly described with the critical facility to be hardened identified
	Application project addresses what risks will be mitigated
	Application project addresses the community lifelines being served
	Application project demonstrates how it will enhance community resilience
	Application project's goals, stakeholders, work plan, resources, and monitoring/quality controls are identified
	Staff members and their responsibilities are identified
	Contractors or third-party entities are identified
	The Implementation Plan Template is completed and attached to the application
	The Budget Template is completed and attached to the application
	This project has no duplication of benefits
	Leveraged funds/ service usage is outlined in the application
	Each county that benefits from this project is selected
	Application identifies the LMI Census Tract and block groups numbers the project area will benefit
	Special designations, if applicable, are identified within the application
	Compliance and Maintenance Agreement sections are completed

## Filling out the Rebuild Florida CFHP Application

### Applicant Information:

1. First write in the CFHP project title under “Official Project Title”.
2. Under Local Government Information, fill in the official applicant entity name, meaning the primary UGLG, agency or entity who is applying for this program. Use your official entity name, as this will be the name used for disbursing funds.
3. Next fill in the primary project contact name, title, e-mail, mailing address, and phone number. This person will be the first individual to be contacted by DEO regarding the proposed CFHP project, should the need arise.
4. Be sure to fill in both the entity’s FEIN and DUNS number.
5. Lastly, if there are any other UGLG, agencies, or entities who are co-applicants for this proposed project, list their official entity name, person of contact name and email.

### Project Description:

1. Attach a word document titled: EntityNamePD\_CFHP of the project overview that is not to exceed 2,500 words. An example of the document title being submitted by the Leon County City Fire Department would look like: LeonCountyFirePD\_CFHP

### Community Value:

1. In a zip folder, attach a Word document titled: EntityNameCV\_CFHP of the overall community value of the proposed project without exceeding 1,500 words. You will also need to submit photographs of both the interior and exterior views of the critical facility to be hardened. Attach these photos through a zip folder with your word document included. The zip folder will be titled with your EntityNameCV\_CFHP.
2. A maximum of ten (10) photographs of the critical facility to be hardened may be submitted per application. Photos must be clear and in focus, in color, taken at a high resolution and saved as .jpeg files. For printed applications, photos must be at least 4"x6" in size.
3. Please see this link to create zip files: <https://support.microsoft.com/en-us/help/14200/windows-compress-uncompress-zip-files>

### Capacity Plan:

1. Provide a plan overview, not to exceed 1,500 words, that outlines your proposed CFHP project. You will label the document titled: EntityNameCP\_CFHP.

### Implementation Plan:

1. Use the provided Implementation Plan template found in Appendix D of the CFHP Guidelines to fill out the information. Rename the template: EntityNameIP\_CFHP.
2. You will fill out the timeline to outline the proposed project’s life, accounting for manageable tasks and deliverables with approximate dates included.

### Implementation Plan Template Instructions:

Enter project name, official applicant entity name and primary contact name and phone number in the header space at the top of the template.

This template is customizable to fit your project. Feel free to edit the segments and add notes when needed.

1. The box titled “CFHP Implementation Plan Timeline” will display a visual timeline of the data that you enter into the “Tasks” box.
2. The “Tasks” box should list the major manageable tasks and deliverables of the proposed project and include the entire life of the project. Enter the approximate start and end dates of each task and/or deliverable under the tabs “Start” and “End”. “Duration” encompasses the number of days the task runs

for. Excel should already calculate the duration based on the start and end dates you enter. If this is not the case, enter in the number of days as the duration of each task and/ or deliverable. Label each task and/ or deliverable appropriately under the “Label” section.

- A. Within the “Tasks” box, there are 15 available slots for project timeline tasks. When adding/changing tasks within the box, select the row (select the values under “Start” to “Label”).

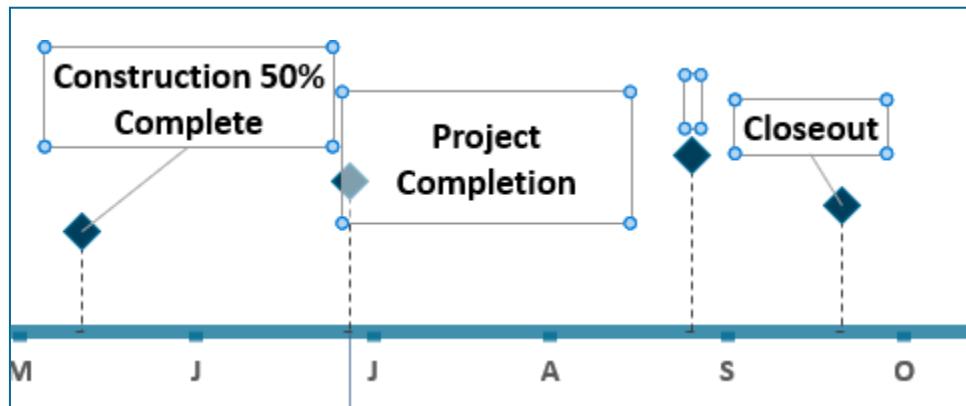
<b>Tasks</b>				
	<b>Start</b>	<b>End</b>	<b>Duration</b>	<b>Label</b>
	02/03	03/03		29 DEO Award and subrecipient Agreement
	03/03	04/03		30 Sample Action and ADA Design
	03/03	04/03		30 Equipment Evaluation
	04/04	05/04		25 Environmental Review
	05/06	07/06		60 Sample Construction Phase 1
	07/08	08/08		31 Sample Construction Phase 2
	05/06	07/06		60 Second Sample Construction Phase 1
	07/08	08/08		31 Second Sample Construction Phase 2
	08/20	09/01		12 Project Closeout
	09/01	12/25		115 Maintenance and Monitoring
	10/01	10/10		9 Sample Text
	10/10	10/27		17 Sample Text

- B. For this example, the highlighted “Sample Text” task needs to be moved under the “Equipment Evaluation”. Once you select the row, right click, select “Cut”, a dotted line around your selected row should appear. Next, select the “Environmental Review” row. Right click and select “Insert Cut Cells”. Now “Sample Text” will appear under “Equipment Evaluation”. The visual timeline above the “Tasks” will also reflect these changes.

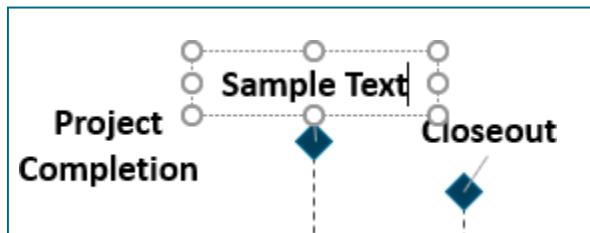
<b>Tasks</b>				
	<b>Start</b>	<b>End</b>	<b>Duration</b>	<b>Label</b>
	02/03	03/03		29 DEO Award and subrecipient Agreement
	03/03	04/03		30 Sample Action and ADA Design
	03/03	04/03		30 Equipment Evaluation
	10/01	10/10		9 Sample Text
	04/04	05/04		25 Environmental Review
	05/06	07/06		60 Sample Construction Phase 1
	07/08	08/08		31 Sample Construction Phase 2
	05/06	07/06		60 Second Sample Construction Phase 1
	07/08	08/08		31 Second Sample Construction Phase 2
	08/20	09/01		12 Project Closeout
	09/01	12/25		115 Maintenance and Monitoring
	10/10	10/27		17 Sample Text

Follow these guidelines for customizing your timeline. You may add lines within the “Tasks” section below existing tasks by highlighting the complete box rows, right click, select “Insert...”, a dialogue box will appear, select “Shift cells down”, select “Ok”. This will shift all boxes below the selected row down the excel sheet.

- The “Milestones” box may be used to list major project milestones such as the start or completion of the project. Any changes to this box can be made by following the directions described previously. The label for your new milestone may need to be added in manually. You can do this by clicking on one of the existing milestone texts in the visual timeline. For example, you may click “Closeout” above the diamond icon. All other text boxes for milestones should be outlined by boxes.



Next, select the box that does not have a label. Now enter in the label for this milestone.



You may move and change the size of each text box.

- The “Notes” box allows you to list any additional notes about the project timeline that may be necessary for DEO to read.

#### Budget:

- Use the provided Budget Worksheet found in Appendix E of the CFHP Guidelines to fill out the information. Rename the template with your EntityNameBudget\_CFHP.
- Select either Yes/No on whether your project includes a duplication of benefits. Any project that includes a duplication of benefits will not be eligible for this application.
- Select either Yes/No on whether you anticipate receiving any funds other than CDBG-MIT funds. If the answer is yes, then in the “Leveraged Dollars” section you will need to detail any application for funds and when those funds will be committed for your project. Include the agency/ entity who the funds are coming from and the total amount.

#### Budget Template Instructions:

Enter project name, primary contact name and phone number and the official applicant entity name.

This template is customizable to fit the budget proposal for your project. Feel free to edit left-hand segments and add notes when needed.

If a section does not have enough cells for the category that you are working on, you can add additional cells by highlighting a complete row and right clicking. A dialogue box will appear that permits you to add a row of cells.

Click “Insert” and then select either “Insert Above” or “Insert Below”, depending on where you would like the new row to be placed. The new row will appear above or below the row you highlighted.

1. On the left-hand side of the template there is a list of major project items numbered 1 to 3. Beneath each major project are related sub-groups. You may edit each of these areas to fit your proposed budget plan. For example, if you do not have Mechanical Hardening, you may delete that numbered row and the related subgroups.
2. List anticipated and committed sources of other project funding sources in the “Sources of Other Funds” category. These funds are non-CDBG-MIT funds. Include entities you have contacted, even if a funding commitment has not yet been made. Disclose the amount you requested or expect to receive. If you need to add rows in this section, follow the directions for adding rows outlined above.
3. You can use the right-side “Notes” column to elaborate on budgeted items as needed.

**Leveraged Dollars:**

1. If you suspect your project includes the use of matching or leveraged funds or services, read the CFHP Guidelines, Part 4.6 to ensure your project is eligible for this section.
2. Describe the specifics of leveraged funds or services that your project uses in the space provided. Identify and answer:
  - A. Are there local or other funds available to address the proposed project in whole or in part?
    - i. If Yes, report all sources of funding and the amount available.
  - B. Disclose sources and uses of non CDBG-MIT funds.
  - C. What other federal, state, and/ or local entities have you contacted concerning funding for the proposed project, and what were the results?
3. If your project does not involve matching or leveraged funds, then write “N/A” in this section.

**County Selection:**

1. This section pertains to the areas that benefit from your project. Select all counties your project benefits so that DEO may determine to what extent your project benefits MID, social vulnerability, rural, and fiscally constrained areas.
2. All Eligible Florida Counties: Alachua, Baker, Bradford, Brevard, Broward, Charlotte, Citrus, Clay, Collier, Columbia, DeSoto, Dixie, Duval, Flagler, Gilchrist, Glades, Hardee, Hendry, Hernando, Highlands, Hillsborough, Indian River, Lafayette, Lake, Lee, Leon, Levy, Manatee, Marion, Martin, Miami-Dade, Monroe, Nassau, Okeechobee, Orange, Osceola, Palm Beach, Pasco, Pinellas, Polk, Putnam, Sarasota, Seminole, St. Johns, St. Lucie, Sumter, Suwannee, Taylor, Union, Volusia, Wakulla
3. HUD MID areas: Brevard, Broward, Clay, Collier, Duval, Hillsborough, Lee, Miami Dade, Monroe, Orange, Osceola, Palm Beach, Polk, St. Johns, St. Lucie, and Volusia
4. State MID areas: Alachua, Baker, Bradford, Charlotte, Citrus, Columbia, DeSoto, Dixie, Flagler, Gilchrist, Glades, Hardee, Hendry, Hernando, Highlands, Indian River, Lafayette, Lake, Leon, Levy, Manatee, Marion, Martin, Nassau, Okeechobee, Pasco, Pinellas, Putnam, Sarasota, Seminole, Sumter, Suwannee, Taylor, Union, Wakulla
5. Rural counties: Baker, Bradford, Columbia, DeSoto, Dixie, Gilchrist, Glades, Hardee, Hendry, Highlands, Lafayette, Levy, Monroe, Okeechobee, Suwannee, Taylor, Union, Wakulla
6. Fiscally Constrained Counties: Baker, Bradford, Columbia, DeSoto, Dixie, Gilchrist, Glades, Hardee, Hendry, Highlands, Lafayette, Levy, Okeechobee, Putnam, Suwannee, Taylor, Union, Wakulla

**Overall LMI Benefit:**

1. Please use the latest updated version of your preferred search engine (Chrome, Explorer, Firefox).

2. Go to the HUD ACS 5-Year 2011-2015 Low- and Moderate-Income Summary Data website: <https://www.hudexchange.info/programs/acs-low-mod-summary-data/>
3. It would be a good idea to watch the 24-minute webinar, as this video explains the tools and resources available on this webpage. Listed below are directions from the Webinar on how to identify block groups and Census Tract numbers.
4. On the right sidebar, click on “Map Application”
5. A new tab will open to the HUD Web Mapping Application. In the top right corner, click on “View Application”. A map of the United States will appear.
6. In the top right corner, enter a county or city located within the Area of Benefit and press enter to search. The map will zoom to the searched area.
7. In the top left corner, click on the Layer button (looks like a stack of papers). Uncheck the LMISD by Place, LMISD by County Subdivision, and LMISD by County boxes. Only one box should remain checked – LMISD by Block Group. Click the X to close the layer box.
8. In the top left corner, click on the Service Area button (includes a pointer arrow in icon). In the “Select” box, click on the downward arrow to select shape style. Be sure to leave this box open while you highlight the block groups within the Area of Benefit.
9. Highlight all block groups within the Area of Benefit. Be sure to leave the Service Area box open while you highlight the block groups within the Area of Benefit. Otherwise you may only be able to select one block group at a time, instead of selecting the complete Area of Benefit.
10. If one block group is highlighted:
  - A. Click on the block group. A data box will pop up.
  - B. The “Tract” is the Census Tract and the “Blckgrp” is the Block Group. Note these in the application.
11. If multiple block groups are highlighted:
  - A. In the Service Area box, click on the “...” to the right of “LMISD by Block Group”
  - B. Click “Export to CSV File”
  - C. Open the excel file.
  - D. The “Tract” is the Census Tract and the “Blckgrp” is the Block Group. Note these in the application.
  - E. Some areas may have more than one Tract and Blckgrp number. If this is the case, first list the Tract number and the corresponding block group numbers, then list the next Tract number and corresponding block group numbers. Example: Tract: 200, Block group: 2, 3; Tract: 1000, Block group: 1, 2, 3; Tract: 2902, Block group: 1, 3, 4, 5, etc.
12. Within the box provided on the application, list the Census Tract number(s) followed by all the LMI Block Groups your project benefits. DEO will then identify the Overall LMI Benefit score.

**Special Designations:**

1. If your project benefits an Area of Critical State Concern, outlined in Florida Statutes 380.05, select “Yes” and proceed to select the specific area under the drop-down menu.
2. Designated Areas of Critical State Concern according to Florida Statutes 380.05:
  - A. Big Cypress Area (portions of Collier, Miami-Dade, and Monroe Counties)
    - i. Big Cypress Area of Critical State Concern Map
  - B. Green Swamp Area (portions of Polk and Lake Counties)
    - i. Green Swamp area of Critical State Concern Map
  - C. City of Key West and the Florida Keys Areas (Monroe County)
    - i. Florida Keys Area of Critical State Concern Map

ii. Key West Area of Critical State Concern Map

Florida Keys Hurricane Evacuation

Florida Keys Hurricane Evacuation Presentations

Florida Keys Hurricane Clearance Time Memorandum of Understanding

D. Apalachicola Bay Area (Franklin County)

i. Apalachicola Area of Critical State Concern Map

**Compliance:**

1. By selecting “Yes” in this section, you certify that your entity and co-applicants will comply with all DEO and state requirements as outlined in 84 FR 45838.

**Maintenance Agreement:**

1. By selecting “Yes” in this section, you certify that your entity and co-applicants will comply with all DEO and state subrecipient monitoring and maintenance requirements as outlined in 84 FR 45838.

**Sign and Date:**

As the primary entity contact for this project, I certify that staff, contractors, vendors and community partners of our mitigation initiative:

1. Will comply with all HUD and Florida requirements in the administration of the proposed CDBG-MIT funded activities;
2. Will work in a cooperative manner to execute the Subrecipient Agreement that provides the pathway for successful CDBG-MIT program(s) and/or project(s) and;
3. Certify that all information submitted in this Application is true and accurate

**Print and Submit Buttons:**

Select “Print” to print out your completed application. Attached files will not print with the application when selecting the “Print” button. Print all attached documents separately and mail the complete application to:

Attention: Rebuild Florida Mitigation Team  
Florida Department of Economic Opportunity  
107 East Madison Street  
Caldwell Building, MSC 400  
Tallahassee, FL 32399

Select “Submit Application” to have your application emailed directly to the Rebuild Florida Mitigation team at: [CDBG-MIT@deo.myflorida.com](mailto:CDBG-MIT@deo.myflorida.com). A dialog box will appear that will allow you to email the application and attach all required files.

If you have any questions or concerns, please email the Mitigation team at: [CDBG-MIT@deo.myflorida.com](mailto:CDBG-MIT@deo.myflorida.com)

## Appendix C – Completed Sample Application

<b>Capacity Plan</b>		
<p>Provide a strategic plan overview of 1,500 words or less that addresses goals, stakeholders, the work plan, (major tasks and deliverables), resources (staffing and budget) and monitoring/quality controls. Identify the staff members who will be responsible and/or positions that will be filled for CFHP project management and maintenance. Provide a short profile on each person on your current staff who perform project-related tasks and a position description for any new hires who will be assigned to project responsibilities.</p> <p>Have any project contractors been identified? If so, briefly describe your selection process.</p>		
Insert Attachment:		Please title doc: EntityNameCP_CFHP
<b>Implementation Plan</b>		
<p>Prepare a chronological timeline for the entire life of the project that organizes work into logical, manageable tasks and deliverables. The Implementation Plan Template has been provided in Appendix D of the CFHP Guidelines.</p>		
Insert Attachment:		Pleas e renam e templat e: EntityNameIP_CFHP
<b>Budget</b>		
<p>Include your project budget using the Budget Template found in Appendix E in the CFHP Guidelines. Ensure your budget is reasonable, appropriate and accurate. Are the budgeted items consistent with the project description and tasks? Does the amount requested fall within the CFHP applicant's allowable minimum (\$50,000) and maximum (\$15,000,000)? Ensure there is no duplication of benefits.</p>		
Insert Attachment:		Please rename template: EntityNameBudget_CFHP
Is there any duplication of benefits?	Yes:D	No: [Z]
<p>All funds identified for use on your project must be fully disclosed and detailed to ensure budget accuracy and no duplication of benefits.</p>		
Do you anticipate receiving any funds for this project that will not be supplied by the CDBG-MIT program? If yes, detail the anticipated or committed funds in the Leveraged Dollars section.	Yes: [Z]	Nb: <input type="checkbox"/>

**Leveraged Dollars**

If your project involves the qualified use of matching or leveraged funds or services in any capacity, (see Part 4.6 in the CFHP Guidelines) then describe the specifics of leveraged fund/service usage. Answer: 1) Are there local or other funds available to address the proposed project in whole or in part? If yes, report all sources of funding and the amount available. 2) Disclose sources and uses of non CDBG-MIT funds. 3) What other federal, state and/ or local entities have you contacted concerning funding for the proposed project and what were the results? Put "N/A" if this section is not applicable to your project.

- 1) Yes, We will be utilizing \$350,000 in Disaster Recovery funds received for the purpose of relocating mechanical equipment at Sample Regional Hospital.
- 2) HUD Disaster Recovery Grant as stated above in the amount of \$350,000.
- 3) We have been unable to locate additional funds for this project.

**County Selection**

Select each county that your project benefits. DEO will use this information to assess MID, social vulnerability, rural and fiscally-constrained areas. Only counties eligible for CDBG-MIT funds are listed below.

<input type="checkbox"/>	Alachua	<input type="checkbox"/>	Flagler	<input type="checkbox"/>	Levy	<input type="checkbox"/>	Polk
<input type="checkbox"/>	Baker	<input type="checkbox"/>	Gilchrist	<input type="checkbox"/>	Manatee	<input type="checkbox"/>	Putnam
<input type="checkbox"/>	Bradford	<input type="checkbox"/>	Glades	<input type="checkbox"/>	Marion	<input type="checkbox"/>	Sarasota
<input type="checkbox"/>	Brevard	<input type="checkbox"/>	Hardee	<input type="checkbox"/>	Martin	<input type="checkbox"/>	Seminole
<input type="checkbox"/>	Broward	<input type="checkbox"/>	Hendry	<input type="checkbox"/>	Miami-Dade	<input type="checkbox"/>	St. Johns

Charlotte	Hernando	Monroe	St. Lucie
Citrus	Highlands	Nassau	Sumter
Clay	Hillsborough	Okeechobee	Suwannee
Collier	Indian River	Orange	Taylor
Columbia	Lafayette	Osceola	Union
DeSoto	Lake	Palm Beach	Volusia
Dixie	Lee	Pasco	Wakulla
Duval	Leon	Pinellas	

<b>Overall LMI Benefit</b>
Identify and list the Census Tract number followed by all LMI Block Groups your project benefits. Example: Tract: 200, Block group: 2, 3; Tract: 2902, Block group: 1, 3, 4, 5, etc.
Tract: 350, Block Group: 1, 2, 3, 4 Tract: 360, Block Group: 1, 2, Tract: 370, Block Group: 1, 2, 4 Tract: 820, Block Group: 1, 4, 5, 6 Tract: 840, Block Group: 1, 3, 4 Tract: 1300, Block Group: 1, 2, 3 Tract: 1450, Block Group: 1, 2, Tract: 2000, Block Group: 1, 3, 5 Tract: 2600, Block Group: 1, 2, 3, 4 Tract: 3300, Block Group: 1, 2, 3, 4, 5 Tract: 3400, Block Group: 5, 6

<b>Special Designations</b>		
Does your project benefit an Area of Critical State Concern according to Florida Statutes 380.05?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
What is the area of critical state concern?	<b>Not Applicable</b>	

<b>Compliance</b>
According to 84 FR 45838 August 30, 2019 Section V.A.(18), "The State shall make reviews and audits, including on-site reviews of any subrecipients, designated public agencies, and local governments, as may be necessary or appropriate to meet the requirements of section 104(e)(2) of the HCDA, as amended, as modified by this notice. In the case of noncompliance with these requirements, the State shall take such actions as may be appropriate to prevent a continuance of the deficiency, mitigate any adverse effects or consequences, and prevent a recurrence. The State shall establish remedies for

noncompliance by any designated subrecipients, public agencies, or local governments."

Can you certify to comply with state and federal register regulations as outlined in 84 FR 45838?	Yes: <b>[Z]</b>	No: <input type="checkbox"/>
---	-----------------	------------------------------

**Maintenance Agreement**

According to 84 FR 45838 August 30, 2019 Section V.A.2.a(10), "Each grantee must plan for the long-term operation and maintenance of infrastructure and public facility projects funded with CDBG-MIT funds. The grantee must describe in its action plan how it will fund long-term operation and maintenance for CDBG-MIT projects. Additionally, the grantee must describe any State or local resources that have been identified for the operation and maintenance costs of projects assisted with CDBG-MIT funds." As such,

Federal Register expectations on maintenance for CDBG-MIT projects are expected to be maintained by each entity who proposes a CFHP project.

Can you certify that your entity will comply with state and subrecipient monitoring and maintenance requirements as outlined by 84 FR 45838?

Yes:

[]

NO:

**Sign and Date**

As the primary entity contact for this project, I certify that staff, contractors, vendors and community partners of our mitigation initiative:

- A. Will comply with all HUD and Florida requirements in the administration of the proposed CDBG-MIT funded activities;
- B. Will work in a cooperative manner to execute the Subrecipient Agreement that provides the pathway for successful CDBG-MIT program(s) and/or project(s) and;
- C. Certify that all information submitted in this Application is true and accurate

Signature

Date: 104/09/2020

Print button will only print application and not attached documents. Submit button will deliver application to email to the [cdbg-mit@deo.myflorida.com](mailto:cdbg-mit@deo.myflorida.com). Please attach all relevant documents to this email.

[Print Application](#)

[Submit Application](#)

### **Sample Regional Hospital – Floodproofing**

Sample Regional Hospital is a 220-bed public hospital serving a 3-county area (Counties X, Y and Z) for basic lifesaving services. The hospital is located within the 500-year floodplain and was recently inundated when heavy rains from Hurricane Irma flooded the local river. This project would involve constructing a reinforced earthen berm 2 feet above the 500-year flood plain surrounding the hospital with a dry walkway and ADA access from the parking area. The berm would be planted with natural flora to further reduce erosion. Additionally, all mechanical and electrical equipment will be elevated or relocated to ensure viability in the unlikely event of berm topping. Berm work will be performed by local contractors together with county public works personnel and equipment. The funding requested would be used in conjunction with a

\$350K Disaster Recovery grant received for damage repair to the ground floor and mechanical equipment from the last 2 storms. Floodproofing Project would eliminate the need to evacuate patients, secure hospital personnel and patients during times of emergency and allow full hospital function in the event of a flood. Berm requires little maintenance but would be maintained through shared costs by 3 county agreement.

## **Sample Regional Hospital Community Value**

Regional Rural Hospital has served Counties X, Y and Z for over 30 years and remains an important community fixture. We provide state-of-the-art health care to the community and strive to create an environment that promotes healing, health and relaxation. Our facility encompasses a wide range of specialties, ranging from Pediatric to Orthopedic and everything in between. We also have a comprehensive Cancer Institute that provides patients and their family members with the resources they need to stay informed and choose the best care options available.

We also have a children's foundation that covers the cost of care for families in need due to our rural and socioeconomically constrained clientele. These populations are highly vulnerable when disaster strikes, and our facilities allow those who are injured to receive the care they need. Due to its rural location and farming area, we also have many non-English speaking patients and we supply everyone who comes to our doors with essential care irrespective of insurance status.

When Hurricane Irma ravaged our state, our staff was forced to evacuate several high-risk patients to other facilities due to the risk of losing power after we became inundated with water. Unfortunately, Sample Regional Hospital is located within the 500-year flood plain and heavy rains and storms have been a recurring challenge for us to overcome. We become flooded when Sample River overflows from major storms and hurricanes. By placing a barrier between the hospital and river, the risk for loss of life is significantly decreased because high-risk patients can shelter in place. To further mitigate risks, we plan to elevate our electrical and mechanical equipment 2 feet above the 500-year flood plain. We must prioritize the most vulnerable among us to ensure that we are meeting our mission as healthcare providers and doing our part to help our patients.

With the completion of Sample Regional Hospital's Critical Facility Hardening Project, we would be strengthening County X, Y and Z's lifelines of Health and Medical, Communications, Transportation, and Safety and Security. As a leading entity in times of natural disasters, it is imperative that Sample Regional Hospital's functionality remain at full capacity to ensure health and wellbeing of our patients and their families. The fortification of our building would improve longevity of our continued operations and mitigate the risk of flooding from future hurricanes or tropical storms. Here at Sample Regional Hospital, we are more than just a healthcare facility; we are a connected community.

Attached to this zip file would be 10 photos of Sample Regional Hospital, the proposed construction site and our critical mechanical and electric equipment.

## **Sample Regional Hospital Capacity Plan**

Sample Regional Hospital serves community members across three counties. A berm that is 2 feet above the projected 500-year flood plain will be constructed to surround Sample Regional Hospital to mitigate potential flood damages. Additionally, all mechanical and electrical equipment vital to Sample Regional Hospital will be elevated. Major tasks to be completed include berm engineering design, berm construction, landscaping, ADA access, mechanical equipment elevation, electrical equipment elevation, and rewiring of equipment. This will be completed with \$783,000.00 of CDBG-MIT funds and \$350,000.00 of HUD DR funds for a total project cost of \$1,133,000.00.

The Project Manager will be responsible for implementing the project with the assistance of county planner liaisons from each of the 3 counties involved.

The Project manager oversees the implementation of the project and coordinates with all involved parties for project completion and maintenance. The Floodproofing team works with the Project manager and is comprised of county public works personnel from each of the three counties and specialists. Due to the nature of this project and the cross-county impact Sample Regional Hospital has on the community, the Floodproofing team will consist of members from all three counties.

Local contractors, consultants and inspectors work with the Floodproofing team to ensure proper construction and maintenance of the berm and elevated equipment. Grant managers will be brought in to work within the Floodproofing team to ensure procurement follows Federal Register and grantor requirements, ensures schedules and budget requirements are maintained, assists with procurement and closeout of all contracts and grants.

- Project Manager: responsible for master planning and prioritizing of projects. Signs contracts and manages Floodproofing team.

### **Floodproofing team:**

- X, Y, Z County Public Works Personnel: support the project manager and fulfill project requirements
- Director of Engineering: manages capital improvement projects, department staff, projects, and vendors
- Staff Engineer: evaluates existing condition of current area to determine best construction solution
- Manufacturer: provides GCP customized engineering and manufacturing.
- Engineering Consultant(s): provides engineering services for each project(s)
- General Contractor(s): installers and provides construction services
- Construction Inspector: ensures construction adheres to engineering, budget, safety and outcomes
- Grant Manager: ensures procurement is in compliance with Federal Register and grantor requirements, ensures schedules and budget requirements are maintained, assists with procurement and closeout of all contracts and grants.

The Floodproofing team will undertake the following tasks. The responsible party for implementation is identified in parenthesis. Primary County (X County) hosts the location of Sample Regional Hospital and therefore executes grant award. Primary County works in conjunction with the two other counties.

- (1) Execute grant award (X County)
- (2) Solicit competitive quotes/bids (Project Manager and Floodproofing team)
- (3) Select a contractor (Project Manager and Floodproofing team)
- (4) Award the contract for the construction. (Project Manager)
- (5) Monitor compliance with CDBG-MIT (Floodproofing team)

Floodproofing team currently has 5 PE's with over 60 years of floodproofing and project management experience. The Floodproofing team has successfully completed multiple grants from Federal, State and local sources including Disaster Recovery CDBG and FEMA. Current staff will manage the implementation of the project tasks and compliance with CDBG-MIT requirements. Upon grant award and execution by the Project Manager and Floodproofing team will work with the procurement department to engage a contractor to undertake the construction of the project. At this time, the Floodproofing team is already formed. Contractors will be secured for the berm construction work and equipment elevation through a competitive bid procurement process using a Request for Proposals (RFP) in accordance with 84 FR 45838. This process will include all required federal and state requirements for construction contracts that include but are not limited to Davis Bacon and Section 3.

## Instructions for using Implementation Plan Template

Enter project  name, official applicant entity name and primary contact name and phone number in the header space at the top of the template.

This template is customizable to fit your project. Feel free to edit the segments and add notes when needed.

1. The box titled “CFHP Implementation Plan Timeline” will display a visual timeline of the data that you enter into the “Tasks” box.
2. The “Tasks” box should list the major manageable tasks and deliverables of the proposed project and include the entire life of the project. Enter the approximate start and end dates of each task and/ or deliverable under the tabs “Start” and “End”. “Duration” encompasses the number of days the task runs for. Excel should already calculate the duration based on the start and end dates you enter. If this is not the case, enter in the number of days as the duration of each task and/ or deliverable. Label each task and/ or deliverable appropriately under the “Label” section.
  - A. Within the “Tasks” box, there are 15 available slots for project timeline tasks. When adding/changing tasks within the box, select the row (select the values under “Start” to “Label”).
  - B. For this example, the highlighted “Sample Text” task needs to be moved under the “Equipment Evaluation”. Once you select the row, right click, select “Cut”, a dotted line around your selected row should appear. Next, select the “Environmental Review” row. Right click and select “Insert Cut Cells”. Now “Sample Text” will appear under “Equipment Evaluation”. The visual timeline above the “Tasks” will also reflect these changes.

Follow these guidelines for customizing your timeline. You may add lines within the “Tasks” section below existing tasks by highlighting the complete box rows, right click, select “Insert...”, a dialogue box will appear, select “Shift cells down”, select “Ok”. This will shift all boxes below the selected row down the excel sheet.

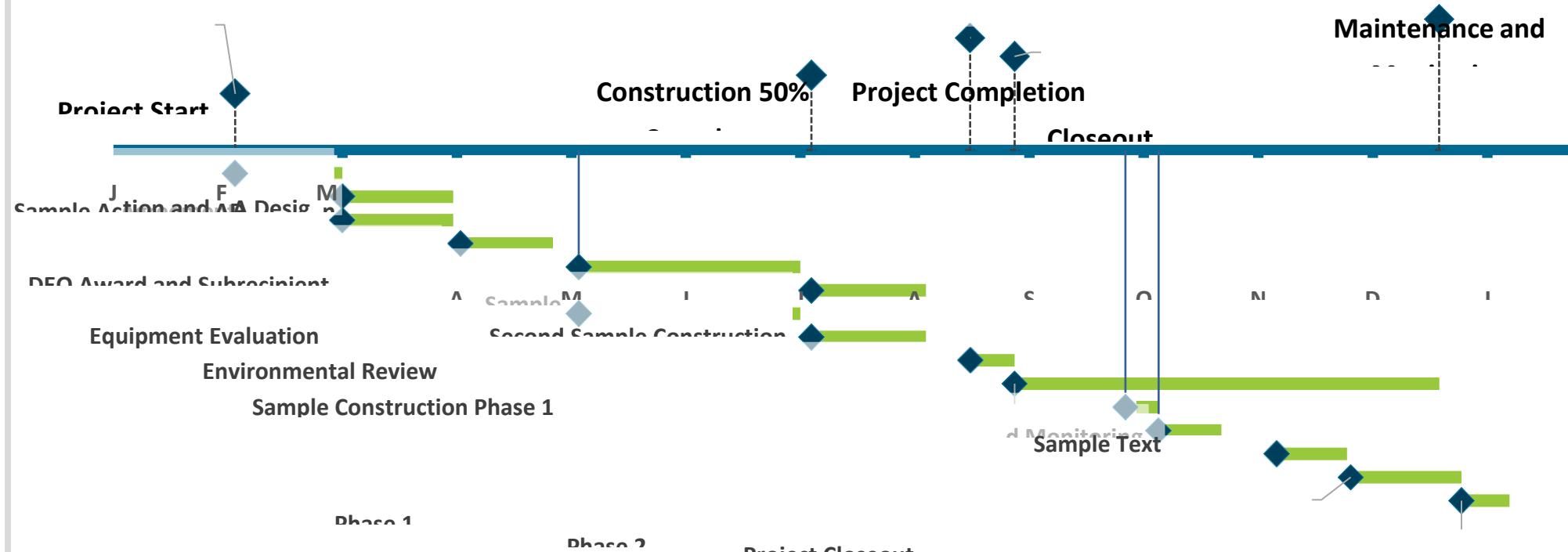
1. The “Milestones” box may be used to list major project milestones such as the start or completion of the project. Any changes to this box can be made by following the directions described previously. The label for your new milestone may need to be added in manually. You can do this by clicking on one of the existing milestone texts in the visual timeline. For example, you may click “Closeout” above the diamond icon. All other text boxes for milestones should be outlined by boxes.

Next, select the box that does not have a label. Now enter in the label for this milestone.

You may move and change the size of each text box.

2. The “Notes” box allows you to list any additional notes about the project timeline that may be necessary for DEO to read.

## CFHP Implementation Plan Timeline



## Critical Facilities Hardening Program Project Budget Template Instructions

This template is customizable to fit the budget proposal for your project. Feel free to edit left-hand segments and add notes when needed.

If a section does not have enough cells for the category that you are working on, you can add cells by highlighting a complete row and right-clicking. A dialogue box will appear that permits you to add a row of cells. Click “Insert” and then select either “Insert Above” or “Insert Below”, depending on where you would like the new row to be placed. The new row will appear above or below the row you highlighted.

Enter project name, primary contact name and phone number and the official applicant entity name.

1. On the left-hand side of the template there is a list of major project items numbered 1 to 3. Beneath each major project are related sub-groups. You may edit each of these areas to fit your proposed budget plan. For example, if you do not have Mechanical Hardening, you may delete that numbered row and the related subgroups.
2. List anticipated and committed sources of other project funding sources in the “Sources of Other Funds” category. These funds are non-CDBG-MIT funds. Include entities you have contacted, even if a funding commitment has not yet been made. Disclose the amount you requested or expect to receive. If you need to add rows in this section, follow the directions for adding rows outlined above.
3. You can use the right-side Notes column to elaborate on budgeted items as needed.

## FL CDBG Mitigation

## Critical Facilities Hardening Program Project Budget (Template)

Project Name:	Sample Regional Hospital Floodproofing	Primary Contact Name and Phone Number:	Chuck Jones 555-555-1234	Official Applicant Entity Name:	Rural County
Project		Budget			Notes
Description	CDBG-MIT Amount	Other non CDBG-MIT Funds	Source of Funds*	Total Funds (CDBG-MIT and Other)	
<b>1. Critical Facilities Hardening</b>					
Berm Engineering Design	67,000				Berm design specifications
Berm Construction	530,000				Reinforced earthen berm at \$106/linear foot 20'wide X 4' high
Landscaping	43,000				Planting natural flora
ADA Access	17,500				Ramp and stair access

<b>2. Mechanical Hardening</b>					

Mechanical equipment elevation		350,000			Elevate mechanical equipment above 500-year flood plain
Electrical equipment elevation	87,000				Elevate electrical equipment above 500-year flood plain
Rewiring	38,500				Rewire relocated equipment
<b>3. Other</b>					
<b>Administration</b>					
<b>Planning</b>					
<b>Totals:</b>	783,000	350,000		1,133,000	

\* All funds identified for use on your project must be fully disclosed and detailed to ensure budget accuracy and no duplication of benefits. Show the sources and amounts of other funds needed to complete the project below, including local funds and grants from other agencies. Any anticipated or committed funds must also be included.

<b>Source of Other Funds</b>	<b>Amount</b>
1. Hurricane Irma Disaster Recovery funds	\$350,000
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	
12.	

## Appendix D – Implementation Plan Template

### Instructions for using Implementation Plan Template

Enter project name, official applicant entity name and primary contact name and phone number in the header space at the top of the template.

This template is customizable to fit your project. Feel free to edit the segments and add notes when needed.

1. The box titled “CFHP Implementation Plan Timeline” will display a visual timeline of the data that you enter into the “Tasks” box.
2. The “Tasks” box should list the major manageable tasks and deliverables of the proposed project and include the entire life of the project. Enter the approximate start and end dates of each task and/or deliverable under the tabs “Start” and “End”. “Duration” encompasses the number of days the task runs for. Excel should already calculate the duration based on the start and end dates you enter. If this is not the case, enter in the number of days as the duration of each task and/or deliverable. Label each task and/or deliverable appropriately under the “Label” section.
  - A. Within the “Tasks” box, there are 15 available slots for project timeline tasks. When adding/changing tasks within the box, select the row (select the values under “Start” to “Label”).
  - B. For this example, the highlighted “Sample Text” task needs to be moved under the “Equipment Evaluation”. Once you select the row, right click, select “Cut”, a dotted line around your selected row should appear. Next, select the “Environmental Review” row. Right click and select “Insert Cut Cells”. Now “Sample Text” will appear under “Equipment Evaluation”. The visual timeline above the “Tasks” will also reflect these changes.

Follow these guidelines for customizing your timeline. You may add lines within the “Tasks” section below existing tasks by highlighting the complete box rows, right click, select “Insert...”, a dialogue box will appear, select “Shift cells down”, select “Ok”. This will shift all boxes below the selected row down the excel sheet.

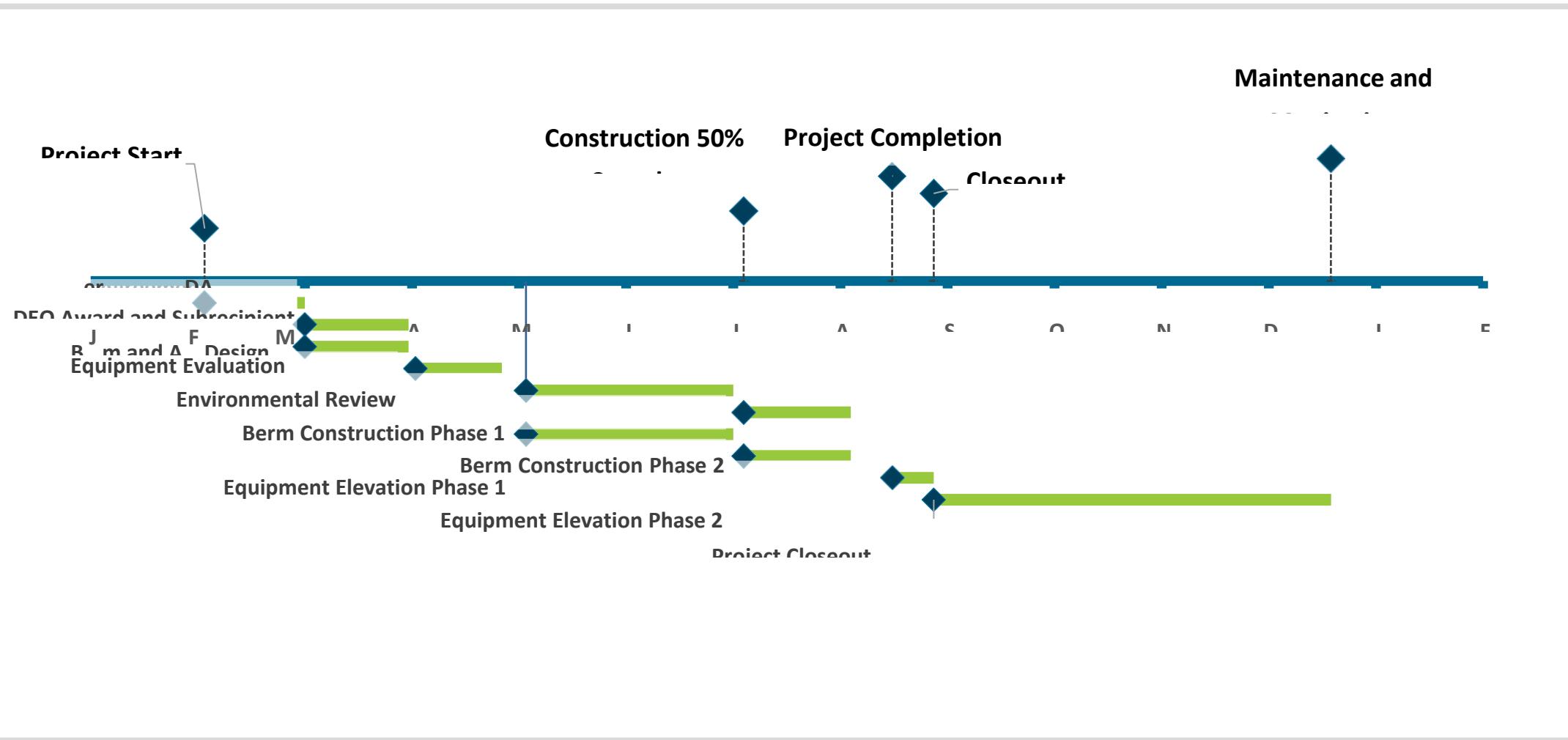
1. The “Milestones” box may be used to list major project milestones such as the start or completion of the project. Any changes to this box can be made by following the directions described previously. The label for your new milestone may need to be added in manually. You can do this by clicking on one of the existing milestone texts in the visual timeline. For example, you may click “Closeout” above the diamond icon. All other text boxes for milestones should be outlined by boxes.

Next, select the box that does not have a label. Now enter in the label for this milestone.

You may move and change the size of each text box.

2. The “Notes” box allows you to list any additional notes about the project timeline that may be necessary for DEO to read.

## CFHP Implementation Plan Timeline



## Appendix E – Budget Template

### Critical Facilities Hardening Program Project Budget Template Instructions

Enter project name, primary contact name and phone number, official applicant entity name.

This template is customizable to fit your budget proposal for your project. Feel free to edit left-hand segments and add notes when needed.

1. On the left-hand side there is a list of major project items numbered 1-3. Under each project are sub-groups that belong to the numbered major project item. Edit each of these areas to fit your proposed budget plan. For example, if you do not have mechanical hardening, then you may want to delete that numbered row and sub rows.
2. If a section does not have enough boxes for the category that you are working on, add additional boxes by highlighting a complete row, right clicking, a dialogue box will appear and you will locate “Insert”, click “Insert” and select “Insert Above” or “Insert Below” depending on where you would like the new row to be placed. The new row will then be placed either above or below your selected row.
3. Include notes on the right-hand side to elaborate on budgeted items if applicable.
4. Record anticipated and committed sources of other funds in the “Sources of Other Funds” category. These funds are non CDBG-MIT funds. This includes entities who you have contacted even if a commitment has not been made yet. Disclose the amount you requested or expect to receive. If you need to add rows in this section, follow the directions for adding rows outlined above.

## FL CDBG Mitigation

## Critical Facilities Hardening Program Project Budget (Template)

Project Name:		Primary Contact Name and Phone Number:		Official Applicant Entity Name:	
Project		Budget			Notes
Description	CDBG-MIT Amount	Other non CDBG-MIT Funds	Source of Funds*	Total Funds (CDBG-MIT and Other)	
<b>1. Critical Facilities Hardening</b>					
Wet Proofing					
Dry Proofing					
Anchoring Roof Mounted Heating					
Retrofitting Building Exteriors					
Storm Proofing Windows					

Removal and Repair obstructions					
<b>2. Mechanical Hardening</b>					
Ventilation/ Air Conditioning Units					
Generator Unit Installation/ Repair					
Water Pump Installation/ Repair					
<b>3. Other</b>					
Shelters					
<b>4. Administration</b>					
<b>5. Planning</b>					
<b>Totals:</b>					

\* Any and all funds identified for use on any project proposed in this application must be fully disclosed and detailed to ensure accuracy in the budget and that duplication of benefit does not occur. Show the sources and amounts of other funds needed to complete the project below, including local funds and grants from other agencies. Any anticipated or committed funds must also be recorded.

<b>Source of Other Funds</b>	<b>Amount</b>
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	
12.	

## Appendix F – Social Vulnerability Index (SoVI®) Variables

**List of SoVI® 2006-10 Variables (n=29). Daggers note new variables added.**

**SoVI® 2010-14 uses the same list of variables.**

VARIABLE	DESCRIPTION
QASIAN	Percent Asian
QBLACK	Percent Black
QSPANISH	Percent Hispanic
QINDIAN	Percent Native American
QAGEDEP	Percent Population under 5 years or 65 and over
QFAM†	Percent Children Living in Married Couple Families
MEDAGE	Median Age
QSSBEN	Percent Households Receiving Social Security Benefits
QPOTVTY	Percent Poverty
QRICH	Percent Households Earning over \$200,000 annually
PERCAP	Per Capita Income
QESL	Percent Speaking English as a Second Language with Limited English Proficiency
QFEMALE	Percent Female
QFHH	Percent Female Headed Households
QNRRES	Nursing Home Residents Per Capita
HOSPTPC	Hospitals Per Capita (County Level ONLY)
QNOHLTH†	Percent of population without health insurance (County Level ONLY)
QED12LES	Percent with Less than 12 <sup>th</sup> Grade Education
QCVLUN	Percent Civilian Unemployment
PPUNIT	People per Unit
QRENTER	Percent Renters
MDHSEVAL	Median Housing Value
MDGRENT	Median Gross Rent
QMOHO	Percent Mobile Homes
QEXTRCT	Percent Employment in Extractive Industries
QSERV	Percent Employment in Service Industry
QFEMLBR	Percent Female Participation in Labor Force
QNOAUTOT†	Percent of Housing Units with No Car
QUNOCCHUT†	Percent Unoccupied Housing Units

## Appendix G – Florida Social Vulnerability Index (SoVI®) Map

