

Grant Modification / Notice of Award

U.S. DEPARTMENT OF LABOR / EMPLOYMENT AND TRAINING ADMINISTRATION

GRANT MODIFICATION		No. 1	PROJECT: WIOA National Dislocated Worker Grants	
			Florida - Hurricane Hermine	Project No. FL-22
GRANT NUMBER: EM-30113-16-60-A-12	EIN: 364706134	EFFECTIVE DATE: 08/02/2017	PAGE 1	
GRANTEE: FLORIDA DEPARTMENT OF ECONOMIC OPPORTUNITY THE CALDWELL BUILDING 107 EAST MADISON STREET, MSC G-229 TALLAHASSEE, FLORIDA 32399-4134		ISSUED BY U.S. DEPARTMENT OF LABOR / ETA DIVISION OF FEDERAL ASSISTANCE 200 CONSTITUTION AVENUE NW - ROOM N-4716 WASHINGTON, DC 20210		

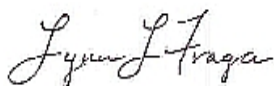
Action:

To approve the fully documented plan.

YEAR / CFDA PROGRAM ACCOUNT ID	Mod 0 CURRENT LEVEL	Mod 1 MODIFICATION	NEW LEVEL	PMS DOC #
FY 17 / 17.277 WIOA DIS WKRS NAT RES - EMERGENCY (ADVANCE) <small>16-1630-2017-0501741717BD201701740003175DW093A0000AOWI00AOWI00-A90200-410023-ETA-DEFAULT TASK-</small>	\$500,012.00	\$0.00	\$500,012.00	EM301135W0
TOTAL FUND AVAILABILITY	\$500,012.00	\$0.00	\$500,012.00	

Except as modified, all terms and conditions of said grant /agreement remain unchanged and in full effect.

Approved
by



Lynn Fraga

Grant Officer

Date Signed **08/04/2017**

SF -424

Application for Federal Assistance SF-424	
*1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input checked="" type="checkbox"/> Changed/Corrected Application	*2. Type of Application * If Revision, select appropriate letter(s) <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation *Other (Specify) <input type="checkbox"/> Revision
3. Date Received: 05/08/2017	4. Applicant Identifier:
5a. Federal Entity Identifier: NDW-FL-ST-16-002.1	*5b. Federal Award Identifier: EM-30113-16-60-A-12
State Use Only:	
6. Date Received By State:	7. State Application Identifier:
8. APPLICANT INFORMATION:	
*a. Legal Name: Department of Economic Opportunity	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 36-4706134	*c. Organizational DUNS: 968930664
d. Address:	
*Street 1:	The Caldwell Building
Street 2:	107 East Madison Street MSC G-229
*City:	Tallahassee
County:	Leon
*State:	FL
Province:	
*Country:	US
*Zip / Postal Code:	32399-4134
e. Organizational Unit:	
Department Name: Workforce Services	Division Name: One Stop and Program Support
f. Name and contact information of person to be contacted on matters involving this application:	
Prefix: *First Name: Diane	
Middle Name:	
*Last Name: Vacca	
Suffix:	
Title:	
Organizational Affiliation:	
*Telephone Number: (850) 245-7451	Fax Number:
*Email: diane.vacca@deo.myflorida.com	

Application for Federal Assistance SF-424
*9. Type of Application 1: Select Applicant Type: A. Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type: *Other (Specify)
*10. Name of Federal Agency: DOL/ETA
11. Catalog of Federal Domestic Assistance Number: 17.277 CFDA Title: Workforce Investment Act (WIA) National Dislocated Worker Grants
*12. Funding Opportunity Number: N/A *Title: N/A
13. Competition Identification Number: Title:
14. Areas Affected by Project (Cities, Counties, States, etc.): FL-Alachua County, FL-Baker County, FL-Citrus County, FL-Columbia County, FL-Dixie County, FL-Franklin County, FL-Gadsden County, FL-Gilchrist County, FL-Hernando County, FL-Jefferson County, FL-Lafayette County, FL-Leon County, FL-Levy County, FL-Liberty County, FL-Madison County, FL-Manatee County, FL-Marion County, FL-Pasco County, FL-Pinellas County, FL-Sarasota County, FL-Sumter County, FL-Suwannee County, FL-Taylor County, FL-Union County, FL-Wakulla County
*15. Descriptive Title of Applicant's Project: FL-Disaster~Florida - Hurricane Hermine

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
*a. Applicant: FL District 2, FL District 3, FL District 5, FL District 11, FL District 12, FL District 13	*b. Program/Project: FL District 2, FL District 3, FL District 4, FL District 5, FL District 11, FL District 12, FL District 13, FL District 14, FL District 16, FL District 17
17. Proposed Project:	
*a. Start Date: 10/10/2016	*b. End Date: 09/30/2017
18. Estimated Funding (\$):	
*a. Federal:	\$ 500,012
*b. Applicant:	\$ 0
*c. State:	\$ 0
*d. Local:	\$ 0
*e. Other:	\$ 0
*f. Program Income:	\$ 0
*g. TOTAL:	\$ 500,012
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: *First Name: Michael Middle Name: D. *Last Name: Lynch Suffix:	
*Title: Senior Management Analysis	
*Telephone Number: (850) 245-7193	Fax Number:
*Email: michael.lynch@deo.myflorida.com	
*Signature of Authorized Representative: Michael D. Lynch	*Date Signed: 05/08/2017

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Standard Form 424 (Revised 10/2005)
 Prescribed by OMB Circular A-102

SF-424A

BUDGET INFORMATION - Non-Construction Programs

OMB Approval no. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.						
2.						
3.						
4.						
5. TOTALS						

SECTION B - BUDGET CATEGORIES

6. OBJECT CLASS CATEGORIES	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total
	(1)	(2)	(3)	(4)	(5)
a. Personnel	\$21,600				\$21,600
b. Fringe Benefits	\$7,776				\$7,776
c. Travel	\$2,500				\$2,500
d. Equipment	\$0				\$0
e. Supplies	\$1,305				\$1,305
f. Contractual	\$463,125				\$463,125
g. Construction	\$0				\$0
h. Other	\$2,360				\$2,360
i. Total direct Charges (sum of 6a-6h)	\$498,666				\$498,666
j. Indirect Charges	\$1,346				\$1,346
k. TOTALS (sum of 6i and 6j)	\$500,012				\$500,012
7. PROGRAM INCOME					

Prescribed by OMB Circular A-102

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Standard Form 424A (Rev. 7-97)

SECTION C - NON FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.					
9.					
10.					
11.					
12. TOTALS (sum of lines 8 -11)					
SECTION D - FORECASTED CASH NEEDS					
	Total for 2nd Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal					
14. Non Federal					
15. TOTAL (sum of lines 13 - 14)					
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.					
17.					
18.					
19.					
20. TOTALS (sum of lines 16 -19)					
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:		22. Indirect Charges:			
23. Remarks					

Standard Form 424A (Rev. 7-97)
 Prescribed by OMB Circular A-102

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Budget Narrative

Budget Information Narratives

Budget Narrative

Personnel: Provide a detailed explanation of the cost components of the planned cost for Personnel.

Personnel Admin Non-Admin

Admin. Support 4,500 5.0% 12 mos. 2,700

GOC II 5,500 4.5% 12 2,970

Account III 5,000 5.0% 12 3,000

Subtotal Personnel (Admin) 8,670 8,670

GOC II 4,500 10.0% 12 5,400

SMAS 5,500 5.5% 12 3,630

IT Staff cost Pool 1,200 1,200

GOC II Monitor 4,500 5.0% 12 2,700 2,700

Sub total 12,930 12,930

Total 21,600 8,670 12,930

Fringe Benefits: Provide a detailed explanation of the cost components of the planned cost for Fringe Benefits.

Admin Non-Admin

Fringe benefits @ 36% personnel (FICA, WC, Retirement, Health) 7,776 3,121 4,655

Travel: Provide a detailed explanation of the cost components of the planned cost for Travel.

travel 10 trips @ \$250 each, to cover, car rental, hotel, gas, and tolls

Supplies: Provide a detailed explanation of the cost components of the planned cost for Supplies.

Office supplies such as pens, pencils, copy paper

Contractual: Provide a detailed explanation for Contractual costs which specifies:

- Estimated amount of the contractual agreement(s)

- Proposed timelines

- Proposed organization, if known

- Nature of the proposed activities

Funds that are passed through to the participating regions. They include project operators costs and are described in detail in the project plan.

Other: Provide a detailed explanation of the cost components of the planned cost for Other.

This covers the cost of DMS - HR at \$160

shared overhead costs 2,000

risk Management 200

total 2,360

Indirect Charges: Provide an explanation of the cost components of the planned costs for Indirect Charges.

Indirect charge is based on a rate of .2733% applied to: personnel + fringe benefits +flow-through/contractual. See attached indirect cost rate letter.

GRANTEE LEVEL

					Admin	Non-Admin
Personnel						
Admin. Support	4,500.00	5.0%	12	2,700		
GOC III	5,500.00	4.5%	12	2,970		
Account III	5,000.00	5.0%	12	3,000		
Subtotal Personnel (Admin)				8,670	8,670	
GOC II	4,500.00	10.0%	12	5,400		
SMAS	5,500.00	5.5%	12	3,630		
IT Staff Cost Pool estimated \$50 per month				1,200		1,200
GOC II Monitor	4,500.00	5.0%	12	2,700		2,700
Subtotal Personnel				12,930		12,930
Total Personnel				21,600	8,670	12,930
Fringe benefits @ 36% personnel (FICA, WC, Retirement, Health)				7,776	3,121	4,655
Travel 10 trips @ \$250				2,500	500	2,000
Supplies \$108.75 per month				1,305	326	979
Other Costs						
DMS - HR (estimated at \$40 per quarter)				160		
Shared Overhead Costs				2,000		
Risk Management (estimated at \$200 per year)				200		
Total Other Costs				2,360		2,360

SUBGRANTEE LEVEL

Contractual - Program Operator Expenses						
Temporary Jobs 18 @ \$18,000	18,000.00	18		324,000		324,000
Fringe benefits @ 15% (FICA, WC)				48,600		48,600
Supportive Services @50/week x 20 x 39 weeks				31,200		31,200
4 Case managers	34,500.00	0.2		27,600		27,600
Fringe benefits @ 35%				9,660		9,660
Staff Travel 4 case managers x \$50/week x 52 weeks				7,800		7,800
Staff supplies estimated at \$200 per month				2,400		2,400
Pooled Career Center Costs @ 15% of staff-related costs)				7,119		7,119
Admin Costs @ 10% of staff-related costs				4,746	4,746	
Total Contractual				463,125	4,746	458,379

INDIRECT RATE

Indirect Rate .2733% of Total Personnel + fringe benefits + flow-through/contractual				1,346	1,346	
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TOTAL GRANT \$ 500,012 \$ 18,709 \$ 481,303

Statement of Work

The following application is for a grant modification request. This cover page summarizes what was changed from the last approved application.

Modification Details

Project ID: FL-22
Descriptive Title: Florida - Hurricane Hermine
Project Type: Disaster
Application Number: NDW-FL-ST-16-002.1
Grant Number: EM-30113-16-60-A-12

MODIFICATION EXPLANATION	To submit a fully documented modification. In response to request for additional information in original award.
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Modification Type(s)	Fully Documented Modification
Participation	<p>The Total Number of Planned Participants on this application (18) is different from the approved up-to amount for this grant (25). Narrative: We incorrectly put the wrong number in and had to change it.</p> <p>The Cost-Per-Participant on this application (\$27,778.44) is different from the approved calculated Cost-Per-Participant for this grant (\$20,000.48).</p>



U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 9/30/2019

Project Synopsis Form

National Dislocated Worker Grants Electronic Application System

State of FL	Amount of Funding Request \$500,012			Amount Approved by DOL \$
	Temporay Jobs	Workforce Deveopment	Total	
a. Federal	\$ 500,012	\$ 0	\$ 500,012	
b. Applicant	\$ 0	\$ 0	\$ 0	
c. State	\$ 0	\$ 0	\$ 0	
d. Local	\$ 0	\$ 0	\$ 0	
e. Other	\$ 0	\$ 0	\$ 0	
f. Program Income	\$ 0	\$ 0	\$ 0	
g. Total	\$ 500,012	\$ 0	\$ 500,012	
Project Name: FL-Disaster~Florida - Hurricane Hermine				
Project Type: Disaster				
Application Type: Full				
(If Emergency) reason:				
Description of Activities to be Provided: Hurricane Hermine. Temporary jobs to include clean-up, to include debris removal and restoration of damaged buildings to include sanitation of building, and humanitarian aid. Date of FEMA Declaration of Eligibility for Public Assistance: 09/28/2016 Date of Emergency or Disaster Situation of National Importance: Name of Federal Agency Declaring Disaster Event (if other than FEMA): Target Groups (check all that apply): <input checked="" type="checkbox"/> Unemployed due to Disaster <input checked="" type="checkbox"/> Long-Term Unemployed <input checked="" type="checkbox"/> Dislocated Workers <input type="checkbox"/> Evacuees From a Declared Disaster Area				
Applicant Contact Person: Isabelle Potts				
Street Address 1: The Caldwell Building				
Street Address 2: 107 East Madison Street MSC G-229				
City: Tallahassee State: FL Zip Code: 32399-4134				
Telephone: (850) 921-3148				
Fax: (850) 921-3826				
Email: isabelle.potts@deo.myflorida.com				
	Temporary Jobs	Workforce Deveopment	Total	
Planned Number of Participants:	18	0	18	Planned Entered Employment Rate: 90%
Planned Cost per Participant:	\$27778.44	\$0	\$27778.44	Actual Cost per Participant in Prior PY: \$0
% of Planned Participants Receiving NRPs: 0				Planned Earnings: 17621
Counties included in Project Service Area: FL-Alachua County, FL-Baker County, FL-Citrus County, FL-Columbia County, FL-Dixie County, FL-Franklin County, FL-Gadsden County, FL-Gilchrist County, FL-Hernando County, FL-Jefferson County, FL-Lafayette County, FL-Leon County, FL-Levy County, FL-Liberty County, FL-Madison County, FL-Manatee County, FL-Marion County, FL-Pasco County, FL-Pinellas County, FL-Sarasota County, FL-Sumter County, FL-Suwannee County, FL-Taylor County, FL-Union County, FL-Wakulla County				
Project Operator Listing: CareerSource Capital Region				

Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 113-128 sec 170). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0439).

ETA 9106 (March 2004)



U.S. Department of Labor
 Employment and Training Administration

OMB Approval No. 1205-0439
 Expiration date: 9/30/2019

Project Operator Data Form
 National Dislocated Worker Grants Electronic Application System

Project Operator: CareerSource Capital Region			
Street Address 1: 2601 Blair Stone Road			
Street Address 2: Building C, Suite 200			
City: Tallahassee	State: FL	Zip Code: 32301	
Contact Person: Jim McShane			
Telephone: (850) 617-4601			
FAX: (850) 410-2595			
Email: jim.mcshane@careersourcecapitalregion.com			
Duration of Project Operator Agreement:	Start: 10/10/2016	End: 09/30/2017	
	Temporary Jobs	Workforce Development	Total
Funding Level:	\$463,125	\$0	\$463,125
Number of Participants:	18	0	18
Counties included in Project Operator Service Area: FL-Citrus County, FL-Leon County, FL-Pasco County, FL-Pinellas County			

Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 113-128 sec 170). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0439).

ETA 9107 (March 2004)



U.S. Department of Labor
Employment and Training Administration

OMB Approval No. 1205-0439
Expiration date: 9/30/2019

Planning Form (Disaster - Temp. Jobs)

National Dislocated Worker Grants Electronic Application System

All quarterly entries are CUMULATIVE over all previous quarters.

PERFORMANCE FACTOR	PROGRAM YEAR QUARTER					
	ADMIN	PROGRAM	QTR1 12/31/2016	QTR2 03/31/2017	QTR3 06/30/2017	QTR4 09/30/2017
PLANNED PARTICIPANTS						
Employed In Temporary Disaster Relief Assistance			0	9	14	18
Receiving Intensive Services			0	0	0	0
Receiving Supportive Services			0	9	14	18
Completed NDWG Services			0	9	14	18
Employed at Completion of NDWG Services			0	8	13	16
Total Planned Participants			0	9	14	18
Planned Grantee Expenditures						
Administrative	13,963		0	4,000	9,000	13,963
Other*		22,924	0	7,000	14,000	22,924
Total: Program Management And Oversight	13,963	22,924	0	11,000	23,000	36,887
Indirect* (This line does not add into the subtotal)	1,346	0	0	450	900	1,346
Total Expenditures: Grantee Level	13,963	22,924	0	11,000	23,000	36,887
Planned Project Operator Expenditures						
Participant Wages		324,000	0	100,000	200,000	324,000
Participant Fringe Benefits		48,600	0	15,000	30,000	48,600
Career Services		0	0	0	0	0
Supportive Services		31,200	0	10,000	20,000	31,200
Other*		54,579	0	17,000	35,000	54,579
Administrative	4,746		0	1,500	3,000	4,746
NRP Processing*	0		0	0	0	0
Total: Program Management And Oversight	4,746	458,379	0	143,500	288,000	463,125
Total Expenditures: Project Operator Level	4,746	458,379	0	143,500	288,000	463,125
Total Expenditures: Grantee And Project Operator Level	18,709	481,303	0	154,500	311,000	500,012

Public Burden Statement: Persons are not required to respond to this collection of information unless it displays a currently valid OMB Control number. Respondents' obligation to complete this form is required to obtain or retain benefits (PL: 113-128 sec 170). Public reporting burden for this collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This is public information and there is no expectation of confidentiality. Send comments regarding this burden estimate to the U.S. Department of Labor, Office of National Response, Room C-5311, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0439).

ETA 9103-2a (March 2004)

Narrative Statements

<p>Project Type: Disaster</p> <p>GENERAL EXPLANATION: Please enter any information that would benefit the reviewers who will approve or deny this application. Use this area to explain items and concepts that you feel need additional information, items that need DOL specialist attention during the review process, or any information on how the program is meeting demand-driven goals.</p> <p>This project will provide temporary jobs to assist with long-term humanitarian and clean-up recovery activities to the counties affected by Hurricane Hermine. Clean-up may include debris removal and sanitation of facilities.</p> <p>The grant funds will be used to provide temporary jobs to storm-affected and other dislocated workers for needed recovery activities (related mostly to long-term recovery efforts).</p> <p>It is anticipated that 18 individuals will be placed in temporary positions (for a total of 11 FTEs, allowing for some turnover). These positions will be housed in Leon, Citrus, Pasco, and Pinellas Counties.</p> <p>Eligible temporary workers will be engaged exclusively in recovery work assisting individuals affected by Hurricane Hermine who filed claims with FEMA and/or the State for disaster assistance. The temporary workers will contact these individuals to assess whether they have received all services and resources to which they are entitled. The temporary workers will also assist disaster-affected individuals who have not yet received all the assistance that they require and still have unmet needs to help them access available resources to address their recovery needs.</p> <p>The temporary positions will last less than 2,000 hours. However, as the positions have an average wage of \$19 (ranging from \$17 to \$20), the average cost of temporary wages, benefits, and agency fees for each position through the end of the grant period is estimated at \$34,808.</p> <p>Worksite agreements will limit the work of these positions to recovery activities for Hurricane Hermine and will incorporate the award requirements. Ongoing monitoring will take place to ensure that grant funds are only being used in accordance with the Dislocated Worker Grant requirements.</p> <p>Planned monitoring and oversight activities are carried out in accordance with DEO's monitoring plan. The purpose of the programmatic monitoring reviews is to assess whether the State's 24 LWDBs are complying with applicable laws, regulations, state plans, and all contract and agreement terms in administering their workforce programs. As such, DEO, in consultation with CareerSource Florida (CSF), annually develops and implements a process for monitoring RWBs and for follow-up of findings which require corrective action.</p> <p>DEO annually conducts both programmatic and financial monitoring of the LWDBs. DEO's Division of Workforce Services, Bureau of One-Stop and Program Support, carries out programmatic monitoring and DEO's Division of Finance and Administration, Financial Monitoring & Accountability carries out financial monitoring.</p>
<p>Grant Modifications</p> <p>Modification Explanation:</p> <p>To submit a fully documented modification. In response to request for additional information in original award.</p>
<p>Grant Modification: Please provide explanation for change in number of participants</p> <p>We incorrectly put the wrong number in and had to change it.</p>
<p>Project Plan</p> <p>Please delineate the cost components of the planned cost in Admin. Excluding NRP Processing - Program Management and Oversight (Grantee Level).</p> <p>see budget narrative</p>
<p>Please explain the basis of providing a value in Other-Program Management and Oversight (Grantee Level).</p> <p>see budget narrative</p>
<p>Please explain the basis of providing a value in Other (Project Operator Level).</p> <p>see budget narrative</p>
<p>Please delineate the cost components of the planned cost in Admin. Excluding NRP Processing (Project Operator Level).</p> <p>see budget narrative</p>
<p>Please explain the basis for providing a value in Indirect.</p> <p>see Indirect Letter attachment.</p>

County	Employer Worksite	Title	Duties	1st Award
TBD	TBD	Recovery Construction Supervisor	Supervises & coordinates disaster relief restoration projects for individuals eligible for weatherization assistance.	2
TBD	TBD	Recovery Construction Worker	Removal of debris and repair of damage due to Hurricane Hermine on property occupied by individuals eligible for weatherization assistance.	10
Leon	CareerSource Volusia Flagler	Disaster Relief Case Manager	Intake, assessment, and placement of eligible individuals in temporary positions under the Hurricane Hermine NDWG.	1
Leon	Division of Emergency Management	Recovery Needs Assessment Specialist	Assesses unmet needs of individuals who filed claims related to damage caused by Hurricane Hermine.	3
Leon	Division of Emergency Management	Recovery Unmet Needs Case Manager	Assists individuals with unmet needs related to damage caused by Hurricane Hermine access available resources.	2

Indirect Cost Rate

**NEGOTIATED INDIRECT COST RATE AGREEMENT (NICRA)
STATE DEPARTMENT/AGENCY**

DEPARTMENT/AGENCY: EIN: 36-4706134
State of Florida Department of Economic Opportunity (DEO)
Caldwell Building, 107 East Madison Street
Tallahassee, Florida 32399-4120

DATE: October 31, 2016
FILE REF: This replaces
the agreement dated
November 6, 2015

The indirect cost rate(s) contained herein are for use on grants and contracts with the Federal Government. 2 CFR Part 200, Subpart E applies subject to the limitations contained in Section II, A, below. The rate(s) were negotiated between the State of Florida, Department of Economic Opportunity (DEO) and the U. S. Department of Labor in accordance with the authority contained in 2 CFR Part 200, Appendix VII, D.1.

SECTION I: RATES

<u>TYPE</u>	<u>EFFECTIVE PERIOD</u>		<u>RATE*</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
	<u>FROM</u>	<u>TO</u>			
Final	7/1/15	6/30/16	12.7425% (a)	All	UC
Final	7/1/15	6/30/16	.4224% (b)	All	HCP
Final	7/1/15	6/30/16	.2770% (b)	All	WIA
Final	7/1/15	6/30/16	.5330% (b)	All	WTS/FSET
Final	7/1/15	6/30/16	12.4202% (a)	All	OP
Provisional	7/1/16	6/30/18	13.0828% (a)	All	UC
Provisional	7/1/16	6/30/18	.4258% (b)	All	HCP
Provisional	7/1/16	6/30/18	.2733% (b)	All	WIA
Provisional	7/1/16	6/30/18	.5997% (b)	All	WTS/FSET
Provisional	7/1/16	6/30/18	12.8237% (a)	All	OP

See the Special Remarks section for abbreviation explanations and additional information.

***BASE**

- (a) Total direct salaries and wages including all applicable fringe benefits.
- (b) Total direct salaries and wages including all applicable fringe benefits plus flow-through funding.

TREATMENT OF FRINGE BENEFITS: Fringe benefits are specifically identified to each employee and are charged individually as direct costs. The directly claimed fringe benefits are listed in the Special Remarks Section of this Agreement.

TREATMENT OF PAID ABSENCES: Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims for the cost of these paid absences are not made.

SECTION II: GENERAL

- A. **LIMITATIONS:** Use of the rate(s) contained in the Agreement is subject to all statutory or administrative limitations and is applicable to a given Federal award or contract only to the extent that funds are available. Acceptance of the rate(s) agreed to herein is predicated upon the following conditions:
- (1) that no costs other than those incurred by the non-Federal entity or contractor were included in its indirect cost pool as finally accepted and that such incurred costs are legal obligations of the non-Federal entity and allowable under the governing cost principles,
 - (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs,
 - (3) that similar types of costs have been accorded consistent treatment, and
 - (4) that the information provided by the non-Federal entity or contractor which was used as a basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially inaccurate by the Federal government. In such situations, the rate(s) may be subject to renegotiation at the discretion of the Federal government.
 - (5) The rates cited in this Agreement are subject to audit.
- B. **ACCOUNTING CHANGES:** This agreement is based on the accounting system purported by the non-Federal entity or contractor to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the Division of Cost Determination. Such changes include, but are not limited, changes in the charging of a particular type of cost from indirect to direct. Failure to obtain approval may result in cost disallowances.
- C. **NOTIFICATION TO FEDERAL AGENCIES:** A copy of this document is to be provided by the non-Federal entity or contractor to other Federal funding sources as a means of notifying them of the Agreement contained herein.
- D. **PROVISIONAL-FINAL RATES AND ADJUSTMENTS:** When seeking initial reimbursement of indirect costs using the provisional/rate methodology, provisional rates must be established within 90 days of receiving a Federal award (financial assistance, grants, cooperative agreements, and cost reimbursable contracts) requiring to account for actual costs incurred. The non-Federal entity or contractor must submit an indirect cost rate proposal within six (6) months after the end of their fiscal year to establish a final rate.

Once a final rate is negotiated, billings and charges to federal awards must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the non-Federal entity or contractor may not recover all indirect costs. Conversely, if the final

rate is less than the provisional rate, the non-Federal entity or contractor will be required to reimburse the funding agency for the excess billings.

Non-Federal entities or contractors receiving a Federal cost reimbursable contract(s) - Must adhere with FAR 52.216-7(d)(2)(v), to settle final indirect cost rates typically on annual basis:

“The contractor shall update the billings on all contracts to reflect the final settled rates and update the schedule of cumulative direct and indirect costs claimed and billed, as required in paragraph (d)(2)(iii)(I) of this sections, within 60 days after settlement of final indirect cost rates.”

In addition, the contractor shall provide to the Contracting Officer the noted cumulative costs schedule within 60 days of the execution of this agreement.

If the non-Federal entity or contractor has completed performance under any of the contracts covered by this Agreement, a final invoice or voucher must be submitted no later than 120 days from the date on which this Agreement is executed, following guidance from FAR 52.216-7(d)(5) and FAR 52.216-7(h).

Non-Federal entities receiving Federal awards (financial assistance, grants, and cooperative agreements) – Note that even if Federal awards are administratively closed prior to the settlement of final indirect cost rates, non-Federal entities still must comply with the following 2 CFR Part 200 clauses stating, in part:

§200.344 Post-closeout adjustments and continuing responsibilities

- (a) The closeout of a Federal award does not affect any of the following:
- (1) The right of the Federal awarding agency or pass-through entity to disallow costs and recover funds on the basis of a later audit or other review. The Federal awarding agency or pass-through entity must make any cost disallowance determination and notify the non-Federal entity within the record retention period.
 - (2) The obligation of the non-Federal entity to return any funds due as a result of later refunds, corrections, or other transactions including final indirect cost rate adjustments.

§200.345 Collection of amounts due

- (a) Any funds paid to the non-Federal entity in excess of the amount to which the non-Federal entity is finally determined to be entitled under the terms of the Federal award constitute a debt to the Federal Government.

(b) Except where otherwise provided by statutes or regulations, the Federal awarding agency will charge interest on an overdue debt in accordance with the Federal Claims Collection Standards (31 CFR parts 900 through 999). The date from which interest is computed is not extended by litigation or the filing of any form of appeal.

E. SPECIAL REMARKS:

1. Indirect costs charged to Federal grants/contracts by means other than the rate(s) cited in the Agreement should be adjusted to the applicable rate cited herein and be applied to the appropriate base to identify the proper amount of indirect costs allocable to the program.

2. Contracts/grants providing for ceilings as to the indirect cost rates(s) or amount(s) which are indicated in Section I above, will be subject to the ceilings stipulated in the contract or grant agreements. The ceiling rate or the rate(s) cited in this Agreement, whichever is lower, will be used to determine the maximum allowable indirect cost on the contract or grant agreement.

3. The indirect cost pool consists of allowable, allocable expenses of the following:

Director's Office	Chief Financial Officer
General Counsel	Inspector General
Budget Management	Financial Management
Human Resources Management	General Services
Shared Costs	Information Technology Services
Terminal Leave	UC Benefits
Financial Monitoring & Accountability	
State-wide Cost Allocation Plan Costs	

4. Fringe benefits other than paid absences consist of the following:

Retirement	Social Security
Group Health Ins	Life Ins
Senior Mgt. Disab. Ins	Pre-Tax Benefits

5. Explanations of the abbreviations used in Section I, above, are as follows:

UC = Unemployment Compensation Programs
HCP = Housing & Community Programs
WIA = Workforce Investment Act Programs
WTS/FSET = Welfare Transition Programs & Food Stamp Employment and Training
OP = Other Programs (all agency programs not included in another rate entity)

6. The Florida Department of Economic Opportunity maintains and operates a number of state/federal-owned facilities acquired with various sources of federal and state funds. Maintenance and operating costs associated with these facilities are directly billed to users. Billing rates are designed to recover anticipated service costs on a breakeven basis. Direct billings made in accordance with the agency's "Facilities Services Rate Plan" are hereby approved.

ACCEPTANCE

BY THE ORGANIZATION:

State of Florida
Department of Economic
Opportunity (DEO)
Caldwell Building – MSC 120
107 East Madison Street
Tallahassee, Florida 32399

(Grantee/Contractor)

(Signature)

Damon Steffens

(Name)

Chief Financial Officer

(Title)

(Date)

**BY THE COGNIZANT AGENCY
ON BEHALF OF THE
FEDERAL GOVERNMENT:**

U.S. DEPARTMENT OF LABOR
Division of Cost Determination
224 Westbridge Place
Mount Airy, NC 27030

(Government Agency)



(Signature)

For
Victor M. Lopez

(Name)

Chief, Division of Cost Determination

(Title)

October 31, 2016

(Date)

Negotiated By: Damon L Tomchick
Telephone No.: 240-475-2786